

# CYP2D6: nortriptyline

# 2403-2405

AUC = area under the concentration-time curve,  $Cl_{or}$  = oral clearance,  $C_{ss}$  = plasma concentration in steady state, CTCAE = common terminology criteria for adverse events, FIBSER = Frequency, Intensity, and Burden of Side Effects Rating scale, HAMD-17 = 17-item Hamilton Rating Scale for Depression, HNT = 10-hydroxynortriptyline, IM = intermediate metaboliser (gene dose 0.25-1) (decreased CYP2D6 enzyme activity), MR = metabolic ratio, NM = normal metaboliser (gene dose 1.25-2.5) (normal CYP2D6 enzyme activity), NS = non-significant, NT = nortriptyline, PM = poor metaboliser (gene dose 0) (absent CYP2D6 enzyme activity), S = significant, SmPC = Summary of Product Characteristics,  $t_{1/2}$  = half-life, TCA = tricyclic antidepressant, TDM = therapeutic drug monitoring, UM = ultrarapid metaboliser (gene dose  $\ge 2.75$ ) (increased CYP2D6 enzyme activity)

**Disclaimer**: The Pharmacogenetics Working Group of the KNMP formulates the optimal recommendations for each phenotype group based on the available evidence. If this optimal recommendation cannot be followed due to practical restrictions, e.g. therapeutic drug monitoring or a lower dose is not available, the health care professional should consider the next best option.

#### Brief summary and justification of choices:

Nortriptyline is mainly metabolised by CYP2D6 to the active metabolite E-10-hydroxynortriptyline. This metabolite is approximately half as potent as nortriptyline itself, but the therapeutic range of nortriptyline is only based on the nortriptyline concentration (50-150 ng/ml).

Nortriptyline is converted by CYP2D6 and CYP2C19 to the inactive metabolite desmethylnortriptyline. Genetic variants in CYP2D6 can result in a decreased CYP2D6 enzyme activity (intermediate metabolisers (IM)), an absent CYP2D6 enzyme activity (poor metabolisers (PM)) or an increased CYP2D6 enzyme activity (ultra-rapid metabolisers (UM)).

Kinetic studies showed differences in nortriptyline exposure for patients with CYP2D6 gene variants (Ganesh 2021, Hodgson 2014, Lee 2006, Murphy 2001, Morita 2000, Yue 1998, Dalen 1998 and Dahl 1996). In addition, Vos 2023 found genotype-guided therapy to decrease the time to therapeutic plasma concentration, although van der Schans 2019, excluding NM and only starting genotype-guided treatment approximately 2 weeks after treatment start, did not. Case reports suggest an increased risk of toxic plasma concentrations and adverse events in PM and IM (Lee 2004, Chen 1996 and Bertilsson 1981). However this could not be confirmed in a study with 20 IM and 20 PM (Hodgson 2015), a study with 10 IM and 3 PM (Berm 2016) and a study with 4 PM (Roberts 2004). A case report suggests an increased risk of subtherapeutic plasma concentrations and ineffectiveness in UM (Bertilsson 1993). However, this could not be confirmed in a study with 11 UM. Because nortriptyline has a narrow therapeutic range, changes in exposure are likely to have therapeutic consequences. For this reason and despite the contradictory evidence from the literature, the KNMP Pharmacogenetics Working Group decides that a gene-drug interaction is present and that dose adjustments are required for PM, IM and UM (yes/yes-interactions).

Justification of recommendations per CYP2D6 phenotype

Dose adjustments were calculated on the basis of the AUC or Css for nortriptyline.

- PM: The weighted mean of the calculated dose adjustment based on a total of 41 PM from 5 studies (Vos 2023, Ganesh 2021, Kvist 2001, Dalen 1998, and Dahl 1986) is a dose reduction to 35% of the normal dose (30%-41%; median 38%). This was rounded off to 40% to be more achievable in clinical practice. Effectiveness and side effects and/or the plasma concentration should be monitored when performing dose adjustments.
- IM: The weighted mean of the calculated dose adjustment based on a total of 215 IM from 9 studies (Vos 2023, Ganesh 2021, Lee 2006, Kvist 2001, Murphy 2001, Morita 2000, Dalen 1998, Yue 1998, and Dahl 1986) is a dose reduction to 61% of the normal dose (36%-74%; median 59%). This was rounded off to 60% to be more achievable in clinical practice. Effectiveness and side effects and/or the plasma concentration should be monitored when performing dose adjustments.
- UM: The weighted mean of the calculated dose adjustment based on a total of 18 UM from 5 studies (Vos 2023, Ganesh 2021, Lee 2006, Kvist 2001, and Dalen 1998) is a dose increase to 174% of the normal dose (130%-185%; median 170%). This was rounded off to 170% to be more achievable in clinical practice. Effectiveness and side effects and/or the plasma concentration should be monitored when performing dose adjustments. As the adjustment of UMs is difficult (Bertilsson L et al. Extremely rapid hydroxylation of debrisoquine: a case report with implication for treatment with nortriptyline and other tricyclic antidepressants. Ther Drug Monit 1985;7:478-80. PMID: 4082245) and the cardiotoxic metabolite can accumulate (Bertilsson 1985), the recom-

mendation is to choose a different antidepressant that is not metabolised by CYP2D6 if a dose increase is unwanted due to the cardiotoxic metabolites or if the dose increase does not give the desired results.

Note: The dose calculations do not take into consideration the active metabolite E-10-hydroxynortriptyline. The reason for this is that the effectiveness determination is normally performed based on nortriptyline alone. If this metabolite is taken into consideration, then the calculated dose adjustment is smaller.

You can find an overview of the observed kinetic and clinical consequences per phenotype in the background information text of the gene-drug interactions in the KNMP Kennisbank. You might also have access to this background information text via your pharmacy or physician electronic decision support system.

#### Recommendation concerning pre-emptive genotyping, including justification of choices:

The KNMP Pharmacogenetics Working Group considers genotyping before starting nortriptyline to be potentially beneficial for the prevention of side effects and for drug efficacy. Genotyping can be considered on an individual patient basis. If, however, the genotype is available, the KNMP Pharmacogenetics Working Group recommends adhering to the gene-drug guideline.

The clinical implication of the gene-drug interaction scores 0 out of the maximum of 10 points (with pre-emptive genotyping considered to be potentially beneficial for scores ranging from 0 to 2 points) (see also the clinical implication score tables at the end of this risk analysis):

No severe clinical effects were observed in users of nortriptyline with a variant phenotype. The maximum severity code was C corresponding to CTCAE grade 2. This results in a score of 0 out of the maximum of 2 points for the first criterion of the clinical implication score, the clinical effect associated with the gene-drug interaction (only points for CTCAE grade  $\geq$  3).

The lack of a severe clinical effect also results in a score of 0 of the maximum of 3 points for the second and third criterion of the clinical implication score: the level of evidence supporting an associated clinical effect grade  $\geq$  3 and the number needed to genotype (NNG) in the Dutch population to prevent one clinical effect code  $\geq$  D (grade  $\geq$  3). The American Summary of Product Characteristics (SmPC) of nortriptyline mentions the CYP2D6 PM phenotype, but the Dutch SmPC does not. This results in 0 out of the maximum of 2 points for the fourth and last criterion of the clinical implication score, the pharmacogenetics information in the SmPC (only points for at least one genotype/phenotype mentioned in the (Dutch) SmPC).

The table below follows the KNMP definitions for NM, PM, IM and UM. Therefore, the definitions of NM, PM, IM and
UM used in the table below may differ from the definition used by the authors in the article.

Source Code	Effect	Comments
SourceCoderef. 14Vos CF et al.4Effectiveness of geno- type-specific tricyclic antidepressant dosing in patients with major depressive disorder: a randomized clinical trial.4JAMA Netw Open 2023;6:e2312443.9PMID: 37155164.1	Effect After randomisation, 34 unipolar nonpsychotic major depressive disorder patients received at least one dose of CYP2D6 genotype-guided nortriptyline treatment and 33 patients received at least one dose of not genotype- guided nortriptyline treatment. Plasma concentrations and genotypes were reported for 34 and 31 patients, respectively. The dosing recommendation in the not genotype guided treatment arm was 125 mg/day. The dosing recommendations in the genotype-guided treat- ment arm were according to the 2022 KNMP Pharmaco- genetics Working Group guidelines: 125 mg/ day (100%) for NM, 75 mg/day (60%) for IM, 50 mg/day (40%) for PM, and 200 mg/day (160%) for UM. 96.4% of patients initiated treatment with the recommended dose and all patients attained the recommended dose within the first week of treatment. Steady state plasma concentrations were determined (i.e., after 7 days without dose adjust- ment). In cases of subtherapeutic or supratherapeutic plasma concentrations, dose adjustments were made based on linear kinetics until a therapeutic drug concen- tration was reached. Follow-up was for 7 weeks. Both groups were enriched in patients with a variant pheno- type, because 56% of NM were not included in one of these treatment arms, but in a reference group. In both treatment arms, therapeutic drug monitoring was weekly, which is more often than usual (in clinical practice, it takes several weeks until plasma concentrations are	Comments Authors' conclusion: 'In this randomized clinical trial, pharma- cogenetics-informed treatment resulted in faster attainment of therapeutic TCA concentrations, with potentially fewer and less severe adverse effects. No effect on depressive symp- toms was observed. These findings indi- cate that pharmaco- genetics-informed dosing of TCAs can be safely applied and may be useful in personalizing treatment for patients with major depressive disor- der.'

ref. 1, continuation		measured	)			
e. I, continuation		measured		ating Scale for Depression	h (HAMD-	
				ange 0-52, with higher sco		
		,	•	sion severity) were used to		
				ve symptoms. Frequency		
				Effects Rating (FIBSER)		
				h of the 3 items 0-6, with		
		scores ind	licating m	ore severe interference w	th activities)	
				ure severity (intensity) of a		
				tion between treatment gr		
			•	ssion severity and severity	of adverse	
		effects wa			lination	
				ting nortriptyline pharmace itors) and psychotropic co		
				liazepine in a dose equiva		
				lay were excluded.		
				nption that 50% of the not	genotpe-	
				reach a therapeutic plasr	•	
				ks and that 50% of the ge		
		•	•	reach a therapeutic conc		
				ower of 80% was calculate	-	
		-		patients per treatment an		
				of adverse event scores i		
		•	•	of 80% was calculated to		
		sample SI2		atients per treatment arm.		
		Genotypin	a:			
			e-guided a	arm Not genotype-g	uided arm	
		- 11x NM	-	- 10x NM	,	
		- 18x IM		- 17x IM		
		- 4x PM		- 3x PM		
		- 1x UM		- 1x UM		
		Results:				
				pe-guided treatment comp	pared to	
	Geno-	not geno	type-guid	ed treatment:	volve for	
	type-gui-				value for	
	ded ver-				not geno- type-	
	sus not				guided	
	genotype				treatment	
	-guided	time to	mean	x 0.66 (S)	20.2	
	treatment	thera-			days	
	: A	peutic	me-	x 0.6 (S)	15 days	
		plasma	dian			
		concen				
		tration				
		depressio		NS		
		severity (	•			
		17) score	eover			
		time	01/074	otropgor de crosse in		
		adverse		stronger decrease in		
		severity ( item 2 (Ir		time (NS (significance not mentioned for nor-		
		score ove		triptyline separately,		
				but S for nortriptyline,		
				clomipramine and		
				imipramine together,		
				showing highly similar		
				Showing highly similar		

ref. 1, continuation	T	nortripty-	NM	x 1.26 (N	IS)		83.5	; 1	
		line plas-		x 1.20 (iv	10)		ng/r		
		ma con-	PM	x 0.39 (S	5)		208	,3	
		centration			ma concer		ng/r	nl	
					as suprath				
					(> 150 ng/				
					t genotype rm and the				
				•	the genoty				
				guided a		, pc			
			IM	x 0.77 (N			122	.8	
				, ,	,		ng/r		
			UM	x 1.57 (N			53.0		
					ma concer		ng/r	nl	
					as close to				
					it of the th				
					nge (50 ng e not geno	-			
				type-guid					
			· · · · · ·	- J					
		Results com	npared				eterm	ined):	plasma concentra
				PM	IM	UM		value	plasma concentra- tion of nortriptyline
								for NM	versus NM:
		plasma cono	cen-	x 2.49	x 1.47	x 0.6	33	83.5	PM: 249%
		tration of no		(NS)	(NS)	(NS)		ng/ml	IM: 147%
		tyline at a do		. ,	. ,			-	UM: 63%
		of 125 mg/d		0.77	0.00			405.0	
		plasma cono tration of no		x 0.77 (NS)	x 0.90 (NS)	x 0.7 (NS)		105.2 ng/ml	
		tyline at the			(140)	(110)	,	ng/m	
		genotype-gu							
		dose							
		Nata Oarat				*45	*47	*00	
		Note: Genoty *35, *41, and							
		important ger							
ref. 2	3	Data of 299 p						ian	Authors' conclusion:
Ganesh SV et al.		daily dose 60							'The metabolic ratio
Therapeutic drug		enriched for p							showed the stron-
monitoring of		Routine thera							gest predictive value in identifying pa-
psychotropics as a		concentration		•					tients with a CYP-
diagnostic tool for CYP2D6 poor meta-		concentration were calculat							2D6 PM phenotype
bolizer phenotype.		patient. Serur		-					in those receiving
Ther Drug Monit		of the analytic							venlafaxine, risperi-
2021;43:672-80.		detection of t							done, and aripipra-
PMID: 33560096		Comedication	n that s	strongly int	erfered wit	h CYI	P2D6	;	zole, whereas dose- corrected serum
		during or less							concentrations
and personal commu-		excluded, but							showed a moderate
nication (mean dose-		cers was not.							predictive value for
corrected serum con-		versus 63 mg			-		conce	entra-	the aforementioned
centrations)		tion (kidney fu P-values wer					ina th	P	psychotropics and
		Benjamini an	-		•	-	ing u		nortriptyline.'
				3	,				
		Genotyping:							
		- 136x NM							
		- 131x IM							
		- 28x PM							
	<u> </u>	- 4x UM							

ref. 2, continuation							
		Results:					
		Results compa	red to NM: PM	IM	UM	value	
			PIVI	IIVI	UIVI	for	
						NM	
	PM: A IM: A	median dose-	x 3.10	x 1.63	x 0.58	1.02	
	UM: AA	corrected serum con-	(S) S for PM	(S) versus IM		ng/ml per	mean dose-correc- ted serum concen-
		centration of	NM vers		Versus	mg/day	tration of nortripty-
		nortriptyline		1	1		line versus NM:
		mean dose-	x 2.89	x 1.63 nce not det	x 0.56	1.03	PM: 289% IM: 163%
		corrected serum con-	Significa	nce not de	terminea.	ng/ml per	UM: 56%
		centration of				mg/day	
		nortriptyline					
		Note: Genotypin	a was for <sup>*</sup>	2 through	*6 *0 *10	*11 and	
		gene duplication					
		variants in this D	utch popu	lation.		-	
	4	After randomisat					Authors' conclusion:
van der Schans J et al.		patients with a va or older, receive		•			'The results of this study do not support
Effects of pharmaco-		treatment and 13					pharmacogenetic
genetic screening for		nortriptyline treat	tment. Foll	ow-up was	for 6 week	ks and	CYP2D6 screening
CYP2D6 among		could be extended					to accelerate dose adjustment for nor-
elderly starting thera- py with nortriptyline or		an adequate nor Nortriptyline trea					triptyline and venla-
venlafaxine: a prag-		after approximat					faxine in older pa-
matic randomized		types were not k	•			-	tients with depres- sion.'
controlled trial		recommendation	-	••••			5011.
(CYSCE Trial). J Clin Psychopharma-		were derived from Working Group of			-		
col		IM, 50% for PM,					
2019;39:583-90.		mised, because					
PMID: 31688392.		later because of	•	•			
		allele. In both tre ring was perform			eutic drug r	nonito-	
		Attainment of an	•		e dose wa	s defined	
		as a therapeutic	•				
		adjustment within					
		Frequency and sous) of adverse	• •				
		a shorter and mo			•	•	
		Side-Effect Cheo	cklist,		•		
		Comedication af					
		(e.g. CYP2D6 in					
		excluded, but co mic interaction w		1 1000		acouyna-	
		Based on the as		hat genoty	pe-guided	treatment	
		would reduce the		•			
		power of 100% v 48 patients reacl					
			miy auequ			nt all11.	
		Genotyping:					
		Genotype-guide	ed arm	-	notype-guid	led arm	
	Gono	- 9x IM		- 9x IM			
	Geno- type-gui-	- 7x PM - 2x UM+(*1/*4	1)v2	- 4x PN	1		
	ded ver-	$= 2 \times \operatorname{OWF}(1/4)$	1/12				
	sus not	Results:					

rof 2 continuetion	aonatima	Desults (		ala al tres e tre			
ref. 3, continuation	genotype	Results for ge			nt compared	d to	
	-guided	not genotype-	-				
	treatment : AA	time to adequ dose	ate N	S			
		nortriptyline de study endpoin		S			
		overall freque		\$			
		adverse even	2	5			
				\$			
		overall severit adverse even	,	3			
				anaa in daac		ad	
		Note: The lack point could inc prescription b	dicate a lac	k of genotyp	•		
		· · · · ·					
		Note: The time					
		for the genotyp trend for being					
		non-genotype					
		guided control					
		beneficial effec	• •	• •			
		might be in a fa					
		genotype.					
		Note: Genotypi					
		and gene duplic variants in this			iost importa	nt gene	
ref. 4	3	38 patients with	n major dep	oressive diso			Authors' conclusion:
Berm E et al.		or older with a					'Genotype informa-
Relation between		nortriptyline. Do					tion could be used
CYP2D6 genotype,		effects and the					as a valuable tool, in
phenotype and thera- peutic drug concen-		monitoring was of nortriptyline	•				addition to therapeu- tic drug monitoring,
trations among		The reason for					to prevent suprathe-
nortriptyline and		monitoring was	•		, incrapeuti	ulug	rapeutic drug levels
venlafaxine users in		Co-medication			s was restric	cted to	of nortriptyline or
old age psychiatry.		oxazepam, tem					venlafaxine in elder-
Pharmacopsychiatry		somatic medica					ly patients with a PM
2016;49:186-190. PubMed PMID:		excluded.					genotype.'
27101231.		Genotyping:					
		- 25x NM					
		- 10x IM					
		- 3x PM					
		Results:					
		Results comp	ared to NM	:			
				PM	IM	value	
						for	
						NM	
	PM: AA IM: AA	% of pa-	3 weeks	NS for PI		16%	
		tients with a	5 weeks	IM versus	<u>s NM</u> an increa-	12%	
		suprathera- peutic nor-	5 weeks	se for PN		12%	
		triptyline			s NM (p =		
		plasma con-		0.07) (NS			
		centration (>	12 weeks			20%	
		150 ng/ml)		IM versus			
		Note: Genotypi	ng was for	*3 and *4. N	ext to gene	multi-	
		Note: Genotyping was for *3 and *4. Next to gene multi- plication, these are the most important gene variants in					
		this Dutch popu	ulation.	-	-		
ref. 5	4	284 patients wi					Authors' conclusion:

Hodgson K et al.		were treated with nortriptyline	for 12 weeks. Adverse	'In this sample
Exploring the role of		event data were available for 2	251 patients. Nortriptyline	where antidepres-
drug-metabolising		was initiated at 50 mg/day and	I titrated to a target dose of	sant dosage is titra-
enzymes in antide-		100 mg/day within the first 2 w	eeks unless adverse	ted using clinical
pressant side effects.		events limited dose increase, a	and could be further increa-	judgement, P450
Psychopharmacology		sed to 150 mg/day (and up to 2	200 mg/day if there was	genotypes do not
(Berl)		clinical agreement that a highe	er dose was needed). Dose	explain differences
2015;232:2609-17.		titration was informed by asses	ssments of depressive	between patients in
PubMed PMID:		symptoms and adverse events	6.	side effects with
25761838.		The presence or absence of 2	1 adverse events was	antidepressants.'
		assessed weekly with the self-	report Antidepressant Side	
ref. 5, continuation		Effect Checklist (ASEC), which	n was also administered	
		prior to treatment. Association		
		burden were tested using linea		
		presence/absence of each spe		
		examined using logistic model		
		different adverse events, Bonf		
		ple testing was applied (signifi		
		< 0.05/21)). To ensure that rep		
		were not confounded by the se		
		MADRS scores were entered		
		ses, along with baseline report		
		sex, linear and quadratic effec		
		recruitment. When testing CYF		
		tor, both CYP2D6-inhibiting me		
		nortriptyline were used as cova		
		Finally, CYP2D6 genotype was of time to study discontinuation		
		proportional hazards model. C		
		centre, baseline depression ar		
		event score were included in the		
		Co-medication with psychotrop		
		occasional use of hypnotics. C		
		excluded, but CYP2D6-inhibiti		
		controlled for. In week 8, no pa	0	
		inducers and 4.7% used weak		
		bined oral contraceptive pill, an	<b>`</b>	
		The smallest sample size inclu	,	
		the 168 patients taking nortript	yline with both plasma	
		concentration and dose inform	ation available. It was	
		calculated that in this sample,	it is possible to detect an	
		effect size explaining 4.7% of t	the variance in outcome	
		with 80% power, at a p value t	hreshold of 0.05. This	
		corresponds to 0.52 points on	the ASEC when measuring	
		total adverse event burden. Fo	or study drop-out, hazard	
		ratios of 0.64 (or 1.56) could b	e detected at p < 0.05 with	
		80% power.		
		Genotyping:		
		- 238x NM+IM (gene dose 1.5		
		- 20x IM (gene dose 0.5 and g	ene dose 0.5/0.5)	
		- 20x PM		
		- 6x UM		
		Results:		1
		There was no association of		
	PM: AA	- total number of adverse eve	ents (NS, also NS for PM	
	IM: AA	versus IM+NM+UM)		
	UM: AA	- the following specific advers		
		dry mouth	problems with urination	
		drowsiness	palpitations	
		insomnia (difficulty slee-	feeling light-headed on	
		ping)	standing	4
L	1	1		1

ref. 5, continuation	1 1	blurred vision	feeling like the room is	
			spinning around	
		headache	sweating	
		constipation	increased body tempe-	
			rature	
		diarrhoea	tremor	
		increased appetite decreased appetite	disorientation yawning	
		nausea or vomiting	weight gain	
		problems with sexual		
		function		
		- study discontinuation (NS)		
ref. 6	4	Note: In this study there was al ma nortriptyline concentrations number of adverse events and events other than dry mouth. N participated in the study and a centre of recruitment on nortrip observed. Note: Genotyping was for 33 va AmpliChip P450.	in week 8 with the total with specific adverse line European centres significant effect of the otyline concentrations was ariants with the Roche	Authors' conclusion:
Hodgson K et al.	4	Efficacy data for 334 patients fi Hodgson 2015 were analysed.		While there is a
Genetic differences in		who did not drop out of the stud	dy before week 8, nortrip-	significant relation-
cytochrome P450		tyline plasma concentrations w		ship between the
enzymes and anti- depressant treatment		patients and 10-hydroxynortript tions for 158 patients. The mea		CYP450 genotype and serum concen-
response.		week 8 was 104.9 mg/day, res		trations of escitalo-
J Psychopharmacol		line plasma concentration of 92		pram and nortripty-
2014;28:133-41. PubMed PMID:		The severity of depressive sym weekly, using the Montgomery-		line, the genotypes are not predictive of
24257813.		Scale (MADRS). Prior to treatm		differences in treat-
		MADRS were 28.76.		ment response for
		Trough plasma concentrations		either drug.'
		hydroxynortriptyline were meas of interpretation, standardised		
		measurements were calculated	•	
		standard deviation of 1.		
		Significant differences were ob response outcomes in patients		
		concentration measurements w		
		until at least week 8. Patients v		
		measurements available were responded to treatment than th		
		concentration measurements (		
		All analyses were performed w		
		models including age, sex, cyto		
		ting co-medication and centre of ates. Daily dose of drug was er		
		the model investigating the effe		
		on standardised plasma conce	entrations to consider dose-	
		independent effects (CYP2D6		
		unrelated to nortriptyline dose). severity, linear and quadratic e		
		dual were included as covariate	es in the model investiga-	
		ting the effect of CYP2D6 genc	otype on treatment respon-	
		se. Co-medication with CYP2D6 in	hibitors had a significant	
		effect on both nortriptyline and	10-hydroxynortriptyline	
		plasma concentrations, but all a		
L	<u> </u>	co-medication and results were	e similar when patients	

ref. 6, continuation		taking CYP2D6 inhibitors were excluded from the analy-	
		sis. Uher 2012 calculated that, for studies addressing predictors of antidepressant treatment outcomes, continuous biomarkers (such as serum levels) should explain at least 6.3% of the variance in treatment response in order to be clinically significant. It was calculated that a sample size of n = 120 would be needed to detect an effect size of this magnitude with p = 0.05, and power of 80%. This study exceeds this sample size, and thus is adequately powered to detect clinically significant associations between serum levels of antidepressant and treatment response.	
		Genotyping (calculated with the percentages for all patients (treated with nortriptyline or escitalopram)): - 273x NM+IM (gene dose 1.5-2 and gene dose 1/0) - 26x IM (gene dose 0.5 and gene dose 0.5/0.5) - 24x PM - 11x UM	
		Results:	
		Results for PM versus IM versus (NM+gene dose 1/0) versus UM:	
		- no difference in treatment response (NS)	
	PM: A	- increase in the (dose-corrected) nortriptyline plasma	
	IM: A UM: A	concentration (S) - decrease in the (dose-corrected) 10-hydroxynortripty-	
		line plasma concentration (S)	
		- no difference in nortriptyline dose (NS)	
		Note: In this study there was also no correlation of nortriptyline plasma concentrations with treatment response (NS). Higher 10-hydroxynortriptyline plasma concentrations were associated with poorer treatment response, but not after correction for drug dose. Because dose titration was based on depressive-symptoms and adverse events, higher drug doses were prescribed to patients failing to adequately respond to treatment. Nine European centres participated in the study and a signifi- cant effect of the centre of recruitment on nortriptyline dose and dose-corrected nortriptyline concentrations was observed.	
ref. 7	3	16 Korean volunteers (12x NM (3x *1/*1, 8x *1/*10, 1x	
Lee SY et al. Sequence-based CYP2D6 genotyping in the Korean popula- tion. Ther Drug Monit 2006;28:382-7.	IM: A	<ul> <li>*2/*10), 3x IM (2x *10/*10, 1x *5/*10), 1x UM (*2N/*10))</li> <li>received a single dose of nortriptyline 15 mg.</li> <li>IM versus NM: <ul> <li>AUC NT increased from 743.2 to 1898.4 μg.h/L (S by 155%)</li> </ul> </li> </ul>	AUC NT versus NM: IM: 255% UM: 77%
	UM: AA	UM versus NM: - AUC NT decreased from 743.2 to 572.0 µg.h/L (NS by 23%)	
<b>ref. 8</b> Lee S et al. A case report of a poor metabolizer of CYP2D6 presented with unusual respon- ses to nortriptyline medication. J Korean Med Sci	2 IM: C	<ul> <li>A patient receiving nortriptyline 150 mg/day developed side effects (dry mouth, constipation, dizziness), C<sub>ss</sub> is 471 μg/L. No side effects when the dose was reduced to 50 mg/day. Genotype: *5/*10.</li> </ul>	

2004;19:750-2.			
ref. 9 Roberts RL et al. No evidence of increased adverse drug reactions in cytochrome P450 CYP2D6 poor meta- bolizers treated with fluoxetine or nortrip- tyline. Hum Psychopharma- col 2004;19:17-23. ref. 10 Dalen P et al. Disposition of debriso- quine and nortriptyline	3 PM: AA 3	<ul> <li>60 patients, 56x NM+IM (carriers of *1, *2, *9 or *10), 4x PM (*4/*4 or *4/*5) received NT 25-75 mg for 3 days, after which the dose was adjusted based on side effects, concentrations and clinical effect, study duration was 6 weeks, no relevant co-medication;</li> <li>PM and NM+IM had equal levels of side effects after 6 weeks.</li> <li>PM had a slightly lower dose after 6 weeks than NM+ IM.</li> <li>NOTE: the aim of the study (examining whether PMs suffered more side effects, measured after 3 and 6 weeks) was obscured by the fact that the dose could be adjusted during the study based on side effects.</li> <li>10 healthy volunteers, 5x *1/*1, 5x *1/*10, a single dose of 25 mg nortriptyline, no co-medication;</li> <li>*1/*10: for nortriptyline, an increase in the AUC</li> </ul>	Authors' conclusion: 'These findings sug- gest that inability to efficiently metaboli- ze antidepressants that are CYP2D6 substrates does not necessarily lead to increased occurren- ce of antidepres- sant-associated adverse drug reac- tions.'
in Korean subjects in relation to CYP2D6 genotypes, and comparison with Caucasians. Br J Clin Pharmacol 2003;55:630-4.	2	versus *1/*1 from 1591 to 1672 nM h (NS by 5%), decrease in $Cl_{or}$ from 1.9 to 1.0 L/kg/h (NS by 47%). For HNT, a decrease in AUC HNT from 2,317 to 2,143 (NS by 8%). Increase in AUC ratio NT/HNT from 0.69 to 0.77 (NS by 12%).	
ref. 11 Murphy GM et al. CYP2D6 genotyping with oligonucleotide microarrays and nortriptyline concen- trations in geriatric depression.	3 IM: A	36 geriatric patients, 18x NM (5x *1/*1, 12x *1/*2, 1x *1/*10) and 18x IM (2x *1/*3, 4x *1/*4, 1x *5/*10, 3x *2/*10, 2x *2/*2, 4x *2/*4,1x *3/*4, 1x *4/*4), NT dosed according to target concentration of 50-150 $\mu$ g/L, with comedication; - IM: increase in C <sub>ss</sub> <sup>b</sup> nortriptyline versus NM from 1.3 to 2.9 ng/mL (S by 123%), decrease in dose from	C <sub>ss</sub> NT versus NM: IM: 223%
Neuropsychopharma- col 2001;25:737-43		66.9 to 43.3 mg (S by 30%). NOTE: it is not clear to what extent the co-medication had an effect on CYP2D6.	
<b>ref. 12</b> Kvist EE et al. Quantitative pharma- cogenetics of nortrip- tyline: a novel approach. Clin Pharmacokinet 2001;40:869-77.	3	20 patients and 20 healthy study subjects, 5x no functio- nal allele, 17x 1 functional allele, 12x 2 functional alleles, 6x 3 or more functional alleles (*1 and *2: functional alle- les, *3, *4 and *5: completely dysfunctional alleles), patients received 50 mg 2-3 times daily, healthy study subjects received a single dose of 25-50 mg, no co-medi- cation; For nortriptyline versus two functional alleles: - no functional allele: decreased Clor from 65.5 to 25.1	
	PM: AA IM: AA UM: AA	<ul> <li>L/h (NS by 62%)</li> <li>1 functional allele: decreased Cl<sub>or</sub> from 65.5 to 45.3 L/h (NS by 31%)</li> <li>3 functional alleles: increased Cl<sub>or</sub> from 65.5 to 85.7 L/h (NS by 31%)</li> <li>4 functional alleles: increased Cl<sub>or</sub> from 65.5 to 105.9 L/h (NS by 62%)</li> <li>13 functional alleles: increased Cl<sub>or</sub> from 65.5 to 278.7 L/h (NS by 325%)</li> </ul>	Cl <sub>or</sub> NT versus NM: PM: 38% IM: 69% UM: 185%
		The number of functional CYP2D6 alleles explains 21% of the inter-individual variation in $CI_{or}$ and 34% of the inter-individual variation in the $C_{ss}$ nortriptyline.	

ref. 12, continuation		NOTE: genotyping performed, but only the number of	
		functional alleles is presented	
<b>ref. 13</b> Morita S et al. Steady-state plasma levels of nortriptyline	4	41 patients, 7x *1/*1, 8x *1/*2, 16x *1/*10, 1x *2/*10, 3x *1/*5, 5x *10/*10, 1x *5/*10, nortriptyline 15-120 mg/day, no relevant co-medication;	
and its hydroxylated metabolites in Japa- nese patients: impact of CYP2D6 genotype on the hydroxylation of nortriptyline. J Clin Psychopharma- col 2000;20:141-9.	IM: A	<ul> <li>2 mutations (*10/*10, *10/*5): increase in C<sub>ss</sub><sup>b</sup> NT versus no mutation from 70.3 to 147 ng/mL/mg/kg (S by 109%), decrease in C<sub>ss</sub><sup>b</sup> HNT from 89.6 to 59.8 ng/mL/mg/kg (S by 33%). Increase in ratio NT/HNT from 0.82 to 2.71 (S by 230%).</li> <li>1 mutation (*1/*10, *2/*10,*1/*5): increase in Css<sup>b</sup> NT versus no mutation from 70.3 to 98.4 ng/mL/mg/kg (S by 40%), increase in C<sub>ss</sub><sup>b</sup> HNT from 89.6 to 107 ng/mL/mg/kg (NS by 19%). Increase in ratio NT/HNT from 0.82 to 1.04 (NS by 27%).</li> </ul>	C <sub>ss</sub> <sup>b</sup> NT versus NM (*1/*1+*1/*2+*1/*10+ *2/*10+*1/*5): IM: 170%
<b>ref. 14</b> Yue QJ et al.	3	15 healthy volunteers, 5x *1/*1, 5x *1/*10, 5x *10/*10, a single dose of 25 mg nortriptyline, no co-medication;	
Pharmacokinetics of nortriptyline and its 10-hydroxy metabolite in Chinese subjects of different CYP2D6 genotypes. Clin Pharmacol Ther 1998;64:384-90.	IM: A	<ul> <li>*10/*10: increase in the AUC NT versus *1/*1 from 1817 to 4002 nM·h (NS by 120%), decrease in Cl<sub>or</sub> NT from 1.86 to 0.80 L/h/kg (NS by 57%). Decrease in AUC HNT from 2,273 to 1,704 nM·h (S by 25%). Increase in ratio AUC NT/HNT from 0.82 to 2.51 (by 244%).</li> <li>*1/*10: increase in the AUC NT versus *1/*1 from 1,817 to 2,492 nM·h (NS by 37%), decrease in Cl<sub>or</sub> NT from 1.86 to 1.39 L/h/kg (NS by 25%). Increase in AUC HNT from 2,273 to 2,975 nM·h (NS by 31%). Increase in ratio AUC NT/HNT from 0.82 to 0.94 (by 15%).</li> </ul>	AUC NT versus NM (*1/*1+*1/*10): IM: 186%
Dalen P et al. 10-Hydroxylation of nortriptyline in white	5	*1/*5, 5x *2x2/*2, 1x *2x13/*1, a single dose of 25 mg NT (UM 50 mg), no co-medication;	
persons with 0, 1, 2, 3, and 13 functional CYP2D6 genes. Clin Pharmacol Ther 1998;63:444-52.	PM: A	<ul> <li>O functional alleles: increase in AUC NT versus NM from 1,295 to 4,301 nM·h (S by 232%), t½ is 54.5 h. Decrease in AUC HNT from 1,711 to 1,537 nM·h (NS by 10%), t½ HNT is 52.2 h. Increase in ratio AUC NT/HNT from 0.77 to 2.89 (S by 275%).</li> <li>I functional allele: increase in AUC NT versus NM</li> </ul>	AUC NT versus NM: PM: 332% IM: 279% UM: 59%
	IM: A	<ul> <li>from 1,295 to 3,617 nM·h (S by 179%), t<sup>1</sup>/<sub>2</sub> is 47.5 h. Increase in AUC HNT from 1,711 to 1,856 nM·h (NS by 8%), t<sup>1</sup>/<sub>2</sub> HNT is 39.7 h. Increase in ratio AUC NT/HNT from 0.77 to 2.06 (S by 168%).</li> <li>3 functional alleles: decrease in AUC NT versus NM from 1,295 to 860 nM·h (NS by 34%), t<sup>1</sup>/<sub>2</sub> is 18.1 h. Increase in AUC HNT from 1,711 to 2,731 nM·h (NS</li> </ul>	
	UM: A	<ul> <li>by 60%), t<sup>1</sup>/<sub>2</sub> HNT is 17.6 h. Decrease in ratio AUC NT/HNT from 0.77 to 0.32 (S by 58%).</li> <li>13 functional alleles: decrease in AUC NT versus NM from 1,295 to 267 nM·h (NS by 79%), t<sup>1</sup>/<sub>2</sub> is 19 h. Increase in AUC HNT from 1,711 to 3,442 nM·h (NS by 101%), t<sup>1</sup>/<sub>2</sub> HNT is 9.5 h. Decrease in ratio AUC NT/HNT from 0.77 to 0.08 (NS by 90%).</li> </ul>	
<b>ref. 16</b> Dahl M et al. Steady-state plasma levels of nortriptyline	3	21 patients, 7x *1/*1, 13x *1/*3 or *1/*4 or *1/*5, 1x *4/*4, nortriptyline 150 mg/day (1 person 100 mg/day), co-medi- cation unknown;	
and its 10-hydroxy metabolite: relation- ship to the CYP2D6	PM: A	- PM: increase in $C_{ss}$ NT versus *1/*1 from 2.60 to 6.40 (S by 146%), decrease in $C_{ss}$ HNT from 5.20 to 4.50 (S by 13%), increase in ratio $C_{ss}$ NT/HNT from 0.5 to	C <sub>ss</sub> NT versus NM: PM: 246%. IM: 135%.

	I		
genotype.		1.4 (S by 180%).	
Psychopharmacol		- IM (*1/*3, *1/*4, *1/*5): increase in C <sub>ss</sub> NT versus	
1996;123:315-9.		*1/*1 from 2.60 to 3.50 (NS by 35%), decrease in Css	
	IM: A	HNT from 5.20 to 3.50 (S by 33%), increase in ratio	
ref. 16, continuation		$C_{ss}$ NT/HNT from 0.5 to 1.0 (S by 100%).	
ref. 17	1	Side effects occurred in 8 patients $(4x + 1/(1), 1x + 1/(3), 1x)$	
-	1		
Chen S et al.		*1/*4, 1x *3/*9, 1x *4/*4) receiving nortriptyline 10-75	
The cytochrome P450		mg/day; co-medication unknown;	
2D6 (CYP2D6) enzy-			
me polymorphism:		Side effects that occurred in IM and PM following admini-	
screening costs and		stration of NT:	
influence on clinical	IM: C	<ul> <li>*1/*3: 25-50 mg/day: nervousness, tinnitus</li> </ul>	
outcomes in psychia-		- *1/*4: 75-100 mg/day: instability of the knees and	
try.		nervousness	
Clin Pharmacol Ther		- *3/*9: 10 mg/day: drowsiness, sluggishness	
1996;60:522-34	PM: C	- *4/*4: 10 mg/day: anxiety, agitation, nervousness	
1000,00.022 01		i/ i. to hig/day. anxioty; agration, horvoachood	
		NOTE: no analysis to determine whether the listed side	
		NOTE: no analysis to determine whether the listed side	
	-	effects could also be symptoms of the condition	
ref. 18	2	For one patient, the nortriptyline dose had to be increa-	
Bertilsson L et al.		sed to 500 mg/day (3-5x the standard dose) in order to	
Molecular basis for		achieve therapeutic plasma concentrations and a respon-	
rational megaprescri-		se.	
bing in ultrarapid	UM: C	The patient was found to have a CYP2D6 duplication.	
hydroxylators of			
debrisoquine.			
Lancet			
1993;341:63.			
ref. 19	2	A patient exhibited dizziness and hypotension 2 days	
Bertilsson L et al.	2	after starting a low dose of nortriptyline (75 mg/day).	
Slow hydroxylation of		Eight days after starting treatment, she complained about	
nortriptyline and	PM: C	increasing fatigue and dizziness and appeared confused.	
concomitant poor		C <sub>ss</sub> NT was 1,300 nmol/L (normally 200-600 nmol/L at	
debrisoquine hydroxy-		this dose). After twelve days of nortriptyline 25 mg/day,	
lation: clinical implica-		the C <sub>ss</sub> NT was 742 nmol/L. The side effects disappeared	
tions.		once the dose was reduced to 20 mg/day.	
Lancet			
1981;1:560-1.			
ref. 20	0	Pharmacokinetic properties:	
SmPC Nortrilen (nor-		The metabolism is subject to genetic polymorphism	
triptyline) 11-05-22.		(CYP2D6).	
ref. 21	0	Drug interactions:	
SmPC Pamelor	, S	Drugs metabolized by P450 2D6	
(nortriptyline) 09-04-		The biochemical activity of the drug metabolizing isozyme	
19, USA.		cytochrome P450 2D6 (debrisoquin hydroxylase) is redu-	
		ced in a subset of the Caucasian population (about 7% to	
		10% of Caucasians are so-called "poor metabolizers");	
		reliable estimates of the prevalence of reduced P450 2D6	
		isozyme activity among Asian, African, and other popula-	
		tions are not yet available. Poor metabolizers have higher	
	PM: A	than expected plasma concentrations of tricyclic antide-	
		pressants (TCAs) when given usual doses. Depending on	
		the fraction of drug metabolized by P450 2D6, the increa-	
		se in plasma concentration may be small, or quite large	
		(8-fold increase in plasma AUC of the TCA).	
	1		

<sup>a</sup> corrected for the dose

<sup>a</sup> corrected for the dose and body weight

*#*: the calculations were based on the assumption that the metabolite 10-hydroxynortriptyline is half as potent as the mother substance nortriptyline.

Risk group	IM with CYP2D6 inhibitor
i dolt gi o up	

#### Comments:

After 2010, case reports were not included in the risk analysis, because they did not add enough to the evidence.

The kinetic meta-analysis of Milosavljevic 2021 was not included in the risk analysis, because the metaanalysis included only 1 study for PM (Dalen 1998). In addition, the major IM group (gene dose 1/0) was excluded from the meta-analysis for IM, resulting in inclusion of none of the 5 IM from the study of Dalen 1998 (the only non-Asian study in the meta-analysis). So, this meta-analysis does not provide any information on the major IM group in European countries. In addition, no meta-analysis was performed for UM, so the 6 UM in Dalen 1998 were also ignored. (Milosavljevic F et al. Association of CYP2C19 and CYP2D6 poor and intermediate metabolizer status with antidepressant and antipsychotic exposure: a systematic review and meta-analysis. JAMA Psychiatry 2021;78:270-80. PMID: 33237321.)

The study of Benavides 2020 investigating 25 neuropathic pain patients among whom 1 IM and 1 PM was not included in the risk analysis, because the authors indicate that CYP2D6 genotypes have been removed from subsequent analyses due to low number of genetic variants carriers. (Benavides R et al. A functional polymorphism in the ATP-Binding Cassette B1 transporter predicts pharmacologic response to combination of nortriptyline and morphine in neuropathic pain patients. Pain 2020;161:619-29. PMID: 31738228.)

- Cost-effectiveness:
  - Berm EJ et al. A model based cost-effectiveness analysis of routine genotyping for CYP2D6 among older, depressed inpatients starting nortriptyline pharmacotherapy. PLoS One 2016;11:e0169065. PubMed PMID: 28033366.

Routine genotype-guided therapy for old aged Dutch depressed inpatients starting nortriptyline pharmacotherapy is not cost-effective (costs of € 1.333,000 per quality adjusted life year (QALY) gained) at current genotyping costs (€ 190 per test) compared to not-genotype-guided nortriptyline pharmacotherapy. However at test costs below € 40, genotype-guided therapy could be cost-effective (costs equal to or less than € 50,000 per QALY gained). Genotype-guided therapy consisted of a starting dose of 40% of the normal starting dose for CYP2D6 PM, 100% of the normal starting dose for CYP2D6 IM and NM and 160% of the normal starting dose for CYP2D6 UM.

At genotyping test costs < € 35 per test, genotyping was both better (i.e. resulting in more QALYs) and cheaper than not genotyping.

Varying all other input parameters at genotyping test costs of € 17 per test, showed a 95% probability that genotyping was cost-effective. At genotyping test costs of € 190, the probability was < 1%.

If also the starting dose for IM was changed (to 60% of the normal starting dose), the calculated costs were € 2,380,626 per QALY gained and genotype-guided therapy could be cost-effective at genotyping test costs below € 68.

Direct medical costs were calculated from a health-care insurance payers perspective. Costs were calculated during the dose titration phase (the first 12 weeks of therapy including a maximum of 3 dose adaptations based on therapeutic drug monitoring (TDM) (at 12 days, 43 days and 81 days after start of nortriptyline)). Patients were assumed to be discharged from hospital when antidepressant dose titration was completed. Patients discontinuing nortriptyline were assumed to receive tranylcypromine thereafter. For 1000 patients, not-genotype guided therapy resulted in total costs of € 7,374,826 and a loss of 4.57 QALYs. Genotypeguided therapy resulted in total costs of € 7,528,292 and a loss of 4.46 QALYs. Thus genotype-guided therapy resulted in costs of € 153,466 for a gain of 0.12 QALYs. Costs included in the calculation were hospitalisation costs of € 255.62/day (including costs for medication and the first TDM measurement), TDM costs of € 23.11/measurement, costs for ambulant contact with the psychiatrist of € 190.62, nortriptyline costs of € 0.08 for 10 mg, € 0.15 for 25 mg and € 0.29 for 50 mg, tranylcypromine costs of € 0.96 per 40 mg, and costs of € 188.20 for the genotyping test (genotyping of CYP2D6 \*2, \*3, \*4, \*5 and gene duplication). Proportions of therapeutic, sub-, and supratherapeutic plasma concentrations, and the reduction in inadequately dosed patients by starting dose adjustment (35% for both PM and UM) were derived from Jornil J et al. Risk assessment of accidental nortriptyline poisoning: the importance of cytochrome P450 for nortriptyline elimination investigated using a population-based pharmacokinetic simulator. Eur J Pharm Sci 2011;44: 265-72. Genotype frequencies (8% for PM, 11% for IM, 2% for UM and 79% for NM), average duration of inpatient care (28.6 days), shorter hospital stay when correctly dosed (13.0%), patients who discontinue therapy after the first dose evaluation (22%) and after second dose evaluation (8.5%) were also derived from literature. Assumptions made were that PMs and IMs could only receive a dose that was either correct or too high and UMs could only receive a dose that was either correct or too low. In addition, after dose adjustment, dosing could not become incorrect in an opposite way.

Main parameters which influenced the costs per QALY gained were the genotyping test costs, the improvement in the duration of hospitalization among correctly dosed patients, mean duration of hospitalization, and the proportion of patients who discontinued nortriptyline pharmacotherapy.

For the scenario in which also the starting dose for IMs was adjusted (to 60% of standard dose), the percentage of IMs being supratherapeutically dosed was assumed to be the average of the NM and PM group (56%) and the effect of dose adaptation was assumed to be the same as for PM and UM (35% reduction of incorrectly dosed patients). With respect to clinical validity, It has been reported that 38% of CYP2D6 IMs

are false positive, i.e. have normal CYP2D6 enzyme activity despite the genetic variation (Rebsamen MC et al. The AmpliChip CYP450 test: cytochrome P450 2D6 genotype assessment and phenotype prediction. Pharmacogenomics J 2009;9:34-41). This means, these patients would be dosed too low when dose adaptations would be made based on genotype. So, although inclusion of dose adaptations for IMs was found to increase the costs savings from  $\in$  153,466 to  $\in$  122,346 by reduced inpatient care of correctly dosed IMs, it decreased the QALY gain from 0.12 to 0.05 per 1000 patients, due to false positive IM genotypes which resulted in more subtherapeutic dosed patients. Consequently, the costs per QALY gained increased to  $\in$  2,400,000 and the cost-effectiveness decreased. At test costs below  $\in$  68, genotype-guided therapy could be cost-effective in this scenario (costs equal to or less than  $\in$  50,000 per QALY gained). At genotyping test costs  $< \in$  66 per test, genotyping was both better (i.e. resulting in more QALYs) and cheaper than not genotyping in this scenario.

### Existing guideline:

Hicks JK et al. Clinical pharmacogenetics implementation consortium guideline (CPIC) for CYP2D6 and CYP2C19 genotypes and dosing of tricyclic antidepressants: 2016 update. Clin Pharmacol Ther 2017;102:37-44, PubMed PMID: 27997040 and October 2019 update on the CPIC site (modifications to CPIC's prior system of genotype-phenotype translation, including downgrading the value assigned to the CYP2D6\*10 allele for activity score calculation from 0.5 to 0.25 and changing the phenotype assignment for an activity score of 1 from normal metaboliser to intermediate metaboliser).

CPIC uses the same definition for NM, IM and PM as we do. However, CPIC uses a different definition for UM (gene dose  $\geq$  2.5 instead of  $\geq$  2.75), because CPIC did not decide to include gene dose 2.5 in NM until most laboratories can determine which allele has been duplicated and therefore can distinguish between e.g. \*1x2/\*41 (gene dose 2.5) and \*1/\*41x2 (gene dose 2). The summary below uses the KNMP definition for NM, PM, IM and UM.

CPIC states that the recommended starting dose of nortriptyline does not need dose adjustment for NM. In addition, CPIC states that a 25% reduction of the recommended dose may be considered for patients with a CYP2D6 gene dose of 0.5. As a reference for this percentage reduction they mention the 2011 publication of our dosing recommendations in Clinical Pharmacology and Therapeutics. However, this dosing recommendation is primarily based on patients with gene dose 1. In addition, for IM we recommended a nortriptyline dose reduction of 50% in that publication, which was decreased to 40% in 2012. Because patients with a CYP2D6 activity score of 1.0 are inconsistently categorised as intermediate or normal metabolisers in the literature, making these studies difficult to evaluate, CPIC classified the strength of the recommendation for gene dose 0.5 as moderate (i.e. there is a close or uncertain balance as to whether the evidence is high quality and the desirable clearly outweigh the undesirable effects). After the October 2019 update, CPIC states that a a 25% reduction of the recommended dose may also be considered for patients with a CYP2D6 gene dose of 1. CPIC states that CYP2D6 ultra-rapid metabolisers + gene dose 2.5 have a higher probability of failing nortriptyline pharmacotherapy due to subtherapeutic plasma concentrations, and alternate agents are preferred. CPIC mentions a documented case of a CYP2D6 ultrarapid metaboliser receiving large doses of nortriptyline in order to achieve therapeutic concentrations (Bertilsson L. et al. Extremely rapid hydroxylation of debrisoguine: a case report with implication for treatment with nortriptyline and other tricyclic antidepressants. Ther Drug Monit 1985:7;478-80. (This concerns the same case as in Bertilsson 1993)). CPIC indicates that this case had very high plasma concentrations of the nortriptyline hydroxy-metabolite, which may increase the risk for cardiotoxicity. CPIC states that, if nortriptyline is warranted, there are insufficient data in the literature to calculate a starting dose for a patient with CYP2D6 ultra-rapid metaboliser or gene dose 2.5 status, and therapeutic drug monitoring is strongly recommended.

Based on Bertilsson 1981, CPIC indicates that adverse effects are more likely in CYP2D6 poor metabolisers due to elevated tricyclic plasma concentrations; therefore, alternate agents are preferred. If a tricyclic is warranted, CPIC recommends to consider a 50% reduction of the usual dose, and strongly recommends therapeutic drug monitoring.

•	imendations for nortriptyline for conditions requiring higher doses such as c	epression based
on CYP2D6 p	henotype <sup>a</sup>	
Phenotype	Therapeutic recommendation	Classification of
		recommendation
UM + gene	Avoid nortriptyline use due to potential lack of efficacy. Consider alter-	Strong <sup>d</sup>
dose 2.5	native drug not metabolised by CYP2D6.	
	If nortriptyline is warranted, consider titrating to a higher target dose	
	(compared to normal metabolisers). <sup>b</sup> Utilise therapeutic drug monitoring	
	to guide dose adjustments.	
NM	Initiate therapy with recommended starting dose. <sup>c</sup>	Strong <sup>d</sup>
gene dose 1	Consider a 25% reduction of recommended starting dose. <sup>c</sup> Utilise	Optional <sup>e</sup>
	therapeutic drug monitoring to guide dose adjustments. <sup>b</sup>	
gene dose	Consider a 25% reduction of recommended starting dose. <sup>c</sup> Utilise	Moderate <sup>f</sup>
0.5	therapeutic drug monitoring to guide dose adjustments. <sup>b</sup>	

The therapeutic recommendations for nortriptyline are indicated below:

Dosing recommendations for portriptyline for conditions requiring higher doses such as depression based

PM	Avoid tricyclic use due to potential for side effects. Consider alternative drug not metabolised by CYP2D6. If nortriptyline is warranted, consider a 50% reduction of recommended starting dose. <sup>c</sup> Utilise therapeutic drug monitoring to guide dose adjustments. <sup>b</sup>	Strong <sup>d</sup>
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<sup>a</sup> Dosing recommendations only apply to higher initial doses of nortriptyline for treatment of conditions such as depression. For conditions at which lower initial doses are used, such as neuropathic pain, CPIC recommends no dose modifications for PM or IM, because it is less likely that PM or IM will experience adverse effects due to supra-therapeutic plasma concentrations of nortriptyline. However, CPIC indicates that these patients should be monitored closely for side effects. In addition, if larger doses of TCA are warranted, CPIC recommends following the gene-based dosing guidelines in the table above. For UM+gene dose 2.5, CPIC recommends considering an alternative agent. Based on predicted and observed pharmacokinetic data in those with depression, CYP2D6 UM+gene dose 2.5 may be at an increased risk of failing nortriptyline therapy for neuropathic pain due to lower than expected drug concentrations (Dworkin RH et al. Pharmacologic management of neuropathic pain: evidence-based recommendations. Pain 2007;13: 237-51).

<sup>b</sup> Titrate dose to observed clinical response with symptom improvement and minimal (if any) side effects.

ble clearly outweigh the undesirable effects.

<sup>c</sup> Patients may receive an initial low dose of nortriptyline, which is then increased over several days to the recommended steady-state dose. The starting dose in this guideline refers to the recommended steady-state dose.

<sup>d</sup> Strong indicates that "The evidence is high quality and the desirable effects clearly outweigh the undesirable effects."

<sup>e</sup> Optional indicates that the desirable effects are closely balanced with undesirable effects, or the evidence is weak or based on extrapolations. There is room for differences in opinion as to the need for the recommended course of action.
 <sup>f</sup> Moderate indicates that "there is a close or uncertain balance" as to whether the evidence is high quality and the desira-

As evidence linking CYP2D6 genotype with nortriptyline phenotype, CPIC mentions Berm 2016, Hodgson 2014, Chua 2013, Piatkov 2011, Bijl 2008, Lee 2006, Kawanishi 2004, Lee 2004, Kvist 2001, Laine 2001, Murphy 2001, Morita 2000, Dalen 1998, Yue 1998, Chen 1996, Dahl 1996, Bertilsson 1993, Bertilsson 1985, Nordin 1985, Woolhouse 1984, Bertilsson 1981, Mellstrom 1981 and Bertilsson 1980. All these studies, except for Bertilsson 1980, Mellstrom 1981, Woolhouse 1984, Nordin 1985, Bertilsson 1985, Laine 2001, Kawanishi 2004, Bijl 2008, and the case reports of Piatkov 2011 and Chua 2013, are included in our risk analysis. Chua 2013 and Piatkov 2011 were not included in our risk analysis because we did not include case reports published after 2010. Bijl 2008 and Kawanishi 2004 were not included in our risk analysis because only a minority of the patients in the studies used nortriptyline (35 of the 1198 patients (among whom 807 TCA users) in Bijl 2008 and 1 of the 8 UM in Kawanishi 2004), and results were not reported separately for nortriptyline. Laine 2001 was not included in our risk analysis, because the study compares UM to UM with paroxetine not to NM. Bertilsson 1985 was not included in our risk analysis, because it describes the same case as Bertilsson 1993. Bertilsson 1980, Mellstrom 1981, Woolhouse 1984 and Nordin 1985 were not included in our risk analysis, because phenotyping was not used to distinguish and compare different pharmacogenetic genotypes. In addition to the studies considered by CPIC, our risk analysis includes the studies of Vos 2023, Ganesh 2021, van der Schans 2019, Hodgson 2015, Roberts 2004, and Dalen 2003. CPIC indicates that these studies provide a high level of evidence for a decreased nortriptyline metabolism in PM and in gene dose 0.5 compared to gene dose 1-2 (based on 5 references for PM and on 2 references for gene dose 0.5). Likewise, CPIC indicates that these studies provide a high level of evidence for an increased nortriptyline metabolism in UM + gene dose 2.5 compared to gene dose 1-2 (based on 4 references, including Laine 2001). In addition, CPIC indicates that these studies provide a high level of evidence for a correlation between the number of CYP2D6 variant alleles and for a correlation of debrisoquine hydroxylation with nortriptyline metabolism (8 references, including Chua 2013, for the number of variant alleles, and the 4 references Bertilsson 1980, Mellstrom 1981, Woolhouse 1984 and Nordin 1985 for the debrisoquine hydroxylation). CPIC indicates that these studies provide a moderate level of evidence for the requirement of a decreased dose of nortriptyline in PM (3 references, including Bijl 2008 and including Hodgson 2014 that does not report an association) and an increased dose of nortriptyline in UM + gene dose 2.5 (Bertilsson 1993) compared to gene dose 1-2. In addition, CPIC indicates that these studies provide a moderate level of evidence for an increased risk of side effects in carriers of no function and decreased function alleles compared to carriers of other alleles (5 references, including Piatkov 2011 and including Hodgson 2014 that does not report an association) and for a decreased response in UM + gene dose 2.5 receiving nortriptyline (3 references including Kawanishi 2004 and including Bertilsson 1985 and Bertilsson 1993 that describe the same case). Finally, CPIC indicates a moderate level of evidence from a pharmacokinetic model using published data for the intrinsic clearance of nortriptyline being a linear function of the number of functional CYP2D6 alleles (Kvist 2001).

CPIC also took other gene-based dosing recommendations in consideration, including the 2008 and 2011 publications of our dosing recommendations in Clinical Pharmacology and Therapeutics.

CPIC also provides therapeutic recommendations based on both CYP2D6 and CYP2C19 genotypes. For CYP2D6 UM+gene dose 2.5 and for CYP2D6 PM the therapeutic recommendations for the different CYP-2C19 phenotypes are similar, reflecting the stronger influence of the CYP2D6 phenotype compared to the CYP2C19 phenotype. CPIC indicates that further studies are needed to develop moderate or strong dosing recommendations for TCAs when considering combined CYP2D6/CYP2C19 phenotypes. At the moment, insufficient data are available. Based on Steimer 2005, CPIC mentions that patients carrying at least one

CYP2D6 no function allele and two CYP2C19 normal function alleles had an increased risk of experiencing side effects when administered amitriptyline. This would argue for a therapeutic recommendation also for patients with CYP2D6 gene dose 1, which is the predominant phenotype in this patient group. On 1-12-2023, there was not a more recent version of the recommendations present on the PharmGKB- and on the CPIC-site.

Date of literature search: 30 November 2023.

	Phenotype	Code	Gene-drug interaction	Action	Date
KNMP Pharmacogenetics	PM	3 C	yes	yes	8 February 2024
Working Group decision	IM	4 C	yes	yes	
	UM	3 C	yes	yes	

# Mechanism:

Nortriptyline is mainly metabolised by CYP2D6 to the active metabolite E-10-hydroxynortriptyline. This metabolite is approximately half as potent as nortriptyline itself. A CYP2D6 genetic polymorphism may cause a change in the plasma concentration of nortriptyline and its active metabolite.

Nortriptyline is converted by CYP2D6 and CYP2C19 to the inactive metabolite desmethylnortriptyline. The therapeutic range is 50-150 ng/ml and values higher than 250 ng/ml are considered to be toxic. The Z-hydroxy-

metabolites can cause cardiotoxicity and plasma concentrations of Z-hydroxynortriptyline higher than 40 ng/ml are considered to be toxic.

# **Clinical Implication Score:**

Table 1: Definitions of the available Clinical Implication Scores

Potentially	PGx testing for this gene-drug pair is potentially beneficial. Genotyping can be	0-2 +
beneficial	considered on an individual patient basis. If, however, the genotype is available, the DPWG recommends adhering to the gene-drug guideline	
Beneficial	PGx testing for this gene-drug pair is beneficial. It is advised to consider genotyping the patient before (or directly after) drug therapy has been initiated to guide drug and dose selection	3-5 +
Essential	PGx testing for this gene-drug pair is essential for drug safety or efficacy. Genotyping must be performed before drug therapy has been initiated to guide drug and dose selection	6-10 +

Table 2: Criteria on which the attribution of Clinical Implication Score is based

Clinical Implication Score Criteria	Possible Score	Given Score
Clinical effect associated with gene-drug interaction (drug- or diminished efficacy-induced)		
CTCAE Grade 3 or 4 (clinical effect score D or E)	+	
CTCAE Grade 5 (clinical effect score F)	++	
Level of evidence supporting the associated clinical effect grade ≥ 3		
• One study with level of evidence score $\geq 3$	+	
• Two studies with level of evidence score ≥ 3	++	
• Three or more studies with level of evidence score $\geq 3$	+++	
Number needed to genotype (NNG) in the Dutch population to prevent one clinical effect grade		
≥3		
• 100 < NNG ≤ 1000	+	
• 10 < NNG ≤ 100	++	
• NNG ≤ 10	+++	
PGx information in the Summary of Product Characteristics (SmPC)		
At least one genotype/phenotype mentioned	+	
OR		
Recommendation to genotype	++	
OR		
• At least one genotype/phenotype mentioned as a contra-indication in the corresponding section	++	
Total Score:	10+	0+
Corresponding Clinical Implication Score:	1	Potentially
		beneficial