

CYP2D6: nortriptyline

2403-2405

AUC = area under the concentration-time curve, Cl_{or} = oral clearance, C_{ss} = plasma concentration in steady state, CTCAE = common terminology criteria for adverse events, FIBSER = Frequency, Intensity, and Burden of Side Effects Rating scale, HAMD-17 = 17-item Hamilton Rating Scale for Depression, HNT = 10-hydroxynortriptyline, IM = intermediate metaboliser (gene dose 0.25-1) (decreased CYP2D6 enzyme activity), MR = metabolic ratio, NM = normal metaboliser (gene dose 1.25-2.5) (normal CYP2D6 enzyme activity), NS = non-significant, NT = nortriptyline, PM = poor metaboliser (gene dose 0) (absent CYP2D6 enzyme activity), S = significant, SmPC = Summary of Product Characteristics, $t_{1/2}$ = half-life, TCA = tricyclic antidepressant, TDM = therapeutic drug monitoring, UM = ultra-rapid metaboliser (gene dose ≥ 2.75) (increased CYP2D6 enzyme activity)

Disclaimer: The Pharmacogenetics Working Group of the KNMP formulates the optimal recommendations for each phenotype group based on the available evidence. If this optimal recommendation cannot be followed due to practical restrictions, e.g. therapeutic drug monitoring or a lower dose is not available, the health care professional should consider the next best option.

Brief summary and justification of choices:

Nortriptyline is mainly metabolised by CYP2D6 to the active metabolite E-10-hydroxynortriptyline. This metabolite is approximately half as potent as nortriptyline itself, but the therapeutic range of nortriptyline is only based on the nortriptyline concentration (50-150 ng/ml).

Nortriptyline is converted by CYP2D6 and CYP2C19 to the inactive metabolite desmethylnortriptyline.

Genetic variants in CYP2D6 can result in a decreased CYP2D6 enzyme activity (intermediate metabolisers (IM)), an absent CYP2D6 enzyme activity (poor metabolisers (PM)) or an increased CYP2D6 enzyme activity (ultra-rapid metabolisers (UM)).

Kinetic studies showed differences in nortriptyline exposure for patients with CYP2D6 gene variants (Ganesh 2021, Hodgson 2014, Lee 2006, Murphy 2001, Morita 2000, Yue 1998, Dalen 1998 and Dahl 1996). In addition, Vos 2023 found genotype-guided therapy to decrease the time to therapeutic plasma concentration, although van der Schans 2019, excluding NM and only starting genotype-guided treatment approximately 2 weeks after treatment start, did not. Case reports suggest an increased risk of toxic plasma concentrations and adverse events in PM and IM (Lee 2004, Chen 1996 and Bertilsson 1981). However this could not be confirmed in a study with 20 IM and 20 PM (Hodgson 2015), a study with 10 IM and 3 PM (Berm 2016) and a study with 4 PM (Roberts 2004). A case report suggests an increased risk of subtherapeutic plasma concentrations and ineffectiveness in UM (Bertilsson 1993). However, this could not be confirmed in a study with 11 UM. Because nortriptyline has a narrow therapeutic range, changes in exposure are likely to have therapeutic consequences. For this reason and despite the contradictory evidence from the literature, the KNMP Pharmacogenetics Working Group decides that a gene-drug interaction is present and that dose adjustments are required for PM, IM and UM (yes/yes-interactions).

Justification of recommendations per CYP2D6 phenotype

Dose adjustments were calculated on the basis of the AUC or C_{ss} for nortriptyline.

- PM:** The weighted mean of the calculated dose adjustment based on a total of 41 PM from 5 studies (Vos 2023, Ganesh 2021, Kvist 2001, Dalen 1998, and Dahl 1986) is a dose reduction to 35% of the normal dose (30%-41%; median 38%). This was rounded off to 40% to be more achievable in clinical practice. Effectiveness and side effects and/or the plasma concentration should be monitored when performing dose adjustments.
- IM:** The weighted mean of the calculated dose adjustment based on a total of 215 IM from 9 studies (Vos 2023, Ganesh 2021, Lee 2006, Kvist 2001, Murphy 2001, Morita 2000, Dalen 1998, Yue 1998, and Dahl 1986) is a dose reduction to 61% of the normal dose (36%-74%; median 59%). This was rounded off to 60% to be more achievable in clinical practice. Effectiveness and side effects and/or the plasma concentration should be monitored when performing dose adjustments.
- UM:** The weighted mean of the calculated dose adjustment based on a total of 18 UM from 5 studies (Vos 2023, Ganesh 2021, Lee 2006, Kvist 2001, and Dalen 1998) is a dose increase to 174% of the normal dose (130%-185%; median 170%). This was rounded off to 170% to be more achievable in clinical practice. Effectiveness and side effects and/or the plasma concentration should be monitored when performing dose adjustments. As the adjustment of UMs is difficult (Bertilsson L et al. Extremely rapid hydroxylation of debrisoquine: a case report with implication for treatment with nortriptyline and other tricyclic antidepressants. *Ther Drug Monit* 1985;7:478-80. PMID: 4082245) and the cardiotoxic metabolite can accumulate (Bertilsson 1985), the recom-

mendation is to choose a different antidepressant that is not metabolised by CYP2D6 if a dose increase is unwanted due to the cardiotoxic metabolites or if the dose increase does not give the desired results.

Note: The dose calculations do not take into consideration the active metabolite E-10-hydroxynortriptyline. The reason for this is that the effectiveness determination is normally performed based on nortriptyline alone. If this metabolite is taken into consideration, then the calculated dose adjustment is smaller.

You can find an overview of the observed kinetic and clinical consequences per phenotype in the background information text of the gene-drug interactions in the KNMP Kennisbank. You might also have access to this background information text via your pharmacy or physician electronic decision support system.

Recommendation concerning pre-emptive genotyping, including justification of choices:

The KNMP Pharmacogenetics Working Group considers genotyping before starting nortriptyline to be potentially beneficial for the prevention of side effects and for drug efficacy. Genotyping can be considered on an individual patient basis. If, however, the genotype is available, the KNMP Pharmacogenetics Working Group recommends adhering to the gene-drug guideline.

The clinical implication of the gene-drug interaction scores 0 out of the maximum of 10 points (with pre-emptive genotyping considered to be potentially beneficial for scores ranging from 0 to 2 points) (see also the clinical implication score tables at the end of this risk analysis):

No severe clinical effects were observed in users of nortriptyline with a variant phenotype. The maximum severity code was C corresponding to CTCAE grade 2. This results in a score of 0 out of the maximum of 2 points for the first criterion of the clinical implication score, the clinical effect associated with the gene-drug interaction (only points for CTCAE grade ≥ 3).

The lack of a severe clinical effect also results in a score of 0 of the maximum of 3 points for the second and third criterion of the clinical implication score: the level of evidence supporting an associated clinical effect grade ≥ 3 and the number needed to genotype (NNG) in the Dutch population to prevent one clinical effect code $\geq D$ (grade ≥ 3).

The American Summary of Product Characteristics (SmPC) of nortriptyline mentions the CYP2D6 PM phenotype, but the Dutch SmPC does not. This results in 0 out of the maximum of 2 points for the fourth and last criterion of the clinical implication score, the pharmacogenetics information in the SmPC (only points for at least one genotype/phenotype mentioned in the (Dutch) SmPC).

The table below follows the KNMP definitions for NM, PM, IM and UM. Therefore, the definitions of NM, PM, IM and UM used in the table below may differ from the definition used by the authors in the article.

Source	Code	Effect	Comments
ref. 1 Vos CF et al. Effectiveness of genotype-specific tricyclic antidepressant dosing in patients with major depressive disorder: a randomized clinical trial. JAMA Netw Open 2023;6:e2312443. PMID: 37155164.	4	After randomisation, 34 unipolar nonpsychotic major depressive disorder patients received at least one dose of CYP2D6 genotype-guided nortriptyline treatment and 33 patients received at least one dose of not genotype-guided nortriptyline treatment. Plasma concentrations and genotypes were reported for 34 and 31 patients, respectively. The dosing recommendation in the not genotype guided treatment arm was 125 mg/day. The dosing recommendations in the genotype-guided treatment arm were according to the 2022 KNMP Pharmacogenetics Working Group guidelines: 125 mg/ day (100%) for NM, 75 mg/day (60%) for IM, 50 mg/day (40%) for PM, and 200 mg/day (160%) for UM. 96.4% of patients initiated treatment with the recommended dose and all patients attained the recommended dose within the first week of treatment. Steady state plasma concentrations were determined (i.e., after 7 days without dose adjustment). In cases of subtherapeutic or supratherapeutic plasma concentrations, dose adjustments were made based on linear kinetics until a therapeutic drug concentration was reached. Follow-up was for 7 weeks. Both groups were enriched in patients with a variant phenotype, because 56% of NM were not included in one of these treatment arms, but in a reference group. In both treatment arms, therapeutic drug monitoring was weekly, which is more often than usual (in clinical practice, it takes several weeks until plasma concentrations are	Authors' conclusion: 'In this randomized clinical trial, pharmacogenetics-informed treatment resulted in faster attainment of therapeutic TCA concentrations, with potentially fewer and less severe adverse effects. No effect on depressive symptoms was observed. These findings indicate that pharmacogenetics-informed dosing of TCAs can be safely applied and may be useful in personalizing treatment for patients with major depressive disorder.'

ref. 1, continuation		<p>measured).</p> <p>17-item Hamilton Rating Scale for Depression (HAMD-17) scores (score range 0-52, with higher scores indicating greater depression severity) were used to measure severity of depressive symptoms. Frequency, Intensity, and Burden of Side Effects Rating (FIBSER) scores (score range of each of the 3 items 0-6, with higher scores indicating more severe interference with activities) were used to measure severity (intensity) of adverse events. The interaction between treatment group and time for both depression severity and severity of adverse effects was examined.</p> <p>Comedication affecting nortriptyline pharmacokinetics (e.g. CYP2D6 inhibitors) and psychotropic comedication other than a benzodiazepine in a dose equivalent up to 4 mg lorazepam per day were excluded.</p> <p>Based on the assumption that 50% of the not genotype-guided group would reach a therapeutic plasma concentration within 4 weeks and that 50% of the genotype-guided group would reach a therapeutic concentration within 2 weeks, a power of 80% was calculated to require a sample size of 44 patients per treatment arm. Based on the mean reduction of adverse event scores reported previously, a power of 80% was calculated to require a sample size of 63 patients per treatment arm.</p> <p>Genotyping:</p> <table><tr><td>Genotype-guided arm</td><td>Not genotype-guided arm</td></tr><tr><td>- 11x NM</td><td>- 10x NM</td></tr><tr><td>- 18x IM</td><td>- 17x IM</td></tr><tr><td>- 4x PM</td><td>- 3x PM</td></tr><tr><td>- 1x UM</td><td>- 1x UM</td></tr></table> <p>Results:</p> <table><tr><th colspan="4">Results for genotype-guided treatment compared to not genotype-guided treatment:</th></tr><tr><td colspan="2"></td><td></td><td>value for not genotype-guided treatment</td></tr><tr><td rowspan="2">time to therapeutic plasma concentration</td><td>mean</td><td>x 0.66 (S)</td><td>20.2 days</td></tr><tr><td>median</td><td>x 0.6 (S)</td><td>15 days</td></tr><tr><td colspan="2">depression severity (HAMD-17) score over time</td><td>NS</td><td></td></tr><tr><td colspan="2">adverse event severity (FIBSER item 2 (Intensity)) score over time</td><td>stronger decrease in time (NS (significance not mentioned for nortriptyline separately, but S for nortriptyline, clomipramine and imipramine together, showing highly similar curves))</td><td></td></tr></table>	Genotype-guided arm	Not genotype-guided arm	- 11x NM	- 10x NM	- 18x IM	- 17x IM	- 4x PM	- 3x PM	- 1x UM	- 1x UM	Results for genotype-guided treatment compared to not genotype-guided treatment:							value for not genotype-guided treatment	time to therapeutic plasma concentration	mean	x 0.66 (S)	20.2 days	median	x 0.6 (S)	15 days	depression severity (HAMD-17) score over time		NS		adverse event severity (FIBSER item 2 (Intensity)) score over time		stronger decrease in time (NS (significance not mentioned for nortriptyline separately, but S for nortriptyline, clomipramine and imipramine together, showing highly similar curves))	
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ref. 1, continuation		nortriptyline plasma concentration	NM	x 1.26 (NS)		83.5 ng/ml	plasma concentration of nortriptyline versus NM: PM: 249% IM: 147% UM: 63%	
			PM	x 0.39 (S)		208,3 ng/ml		
				The plasma concentration was supratherapeutic (> 150 ng/ ml) in the not genotype-guided arm and therapeutic in the genotype-guided arm.				
				IM	x 0.77 (NS)			122.8 ng/ml
			UM	x 1.57 (NS)		53.0 ng/ml		
		The plasma concentration was close to the lower limit of the therapeutic range (50 ng/ ml) in the not genotype-guided arm.						
		Results compared to NM (significance not determined):						
			PM	IM	UM	value for NM		
		plasma concentration of nortriptyline at a dose of 125 mg/day	x 2.49 (NS)	x 1.47 (NS)	x 0.63 (NS)	83.5 ng/ml		
		plasma concentration of nortriptyline at the genotype-guided dose	x 0.77 (NS)	x 0.90 (NS)	x 0.79 (NS)	105.2 ng/ml		
Note: Genotyping was for *2 through *11, *15, *17, *29, *35, *41, and gene duplication. These are the most important gene variants in this Dutch population.								
ref. 2	3	Data of 299 patients treated with nortriptyline (median daily dose 60 mg) were analysed. The patient group was enriched for patients with the CYP2D6 PM phenotype. Routine therapeutic drug monitoring of trough serum concentrations was performed. The mean number of concentration measurements per patient was 2.4. Means were calculated when multiple values were known for one patient. Serum concentrations below the detection level of the analytical test were converted to the lower limit of detection of the test. Comedication that strongly interfered with CYP2D6 during or less than 7 days before blood sampling was excluded, but comedication with weak inhibitors and inducers was not. PM differed in prescribed dose (median 50 versus 63 mg/day), but not in age, creatinine concentration (kidney function), and sex from non-PM. P-values were adjusted for multiple testing using the Benjamini and Hochberg (FDR) method. Genotyping: - 136x NM - 131x IM - 28x PM - 4x UM				Authors' conclusion: 'The metabolic ratio showed the strongest predictive value in identifying patients with a CYP-2D6 PM phenotype in those receiving venlafaxine, risperidone, and aripiprazole, whereas dose-corrected serum concentrations showed a moderate predictive value for the aforementioned psychotropics and nortriptyline.'		
Ganesh SV et al. Therapeutic drug monitoring of psychotropics as a diagnostic tool for CYP2D6 poor metabolizer phenotype. Ther Drug Monit 2021;43:672-80. PMID: 33560096 and personal communication (mean dose-corrected serum concentrations)								

ref. 2, continuation		<table><tr><td colspan="5">Results:</td></tr><tr><td colspan="5">Results compared to NM:</td></tr><tr><td></td><td>PM</td><td>IM</td><td>UM</td><td>value for NM</td></tr><tr><td rowspan="2">median dose-corrected serum concentration of nortriptyline</td><td>x 3.10 (S)</td><td>x 1.63 (S)</td><td>x 0.58 (NS)</td><td rowspan="2">1.02 ng/ml per mg/day</td></tr><tr><td colspan="3">S for PM versus IM versus NM versus UM</td></tr><tr><td rowspan="2">mean dose-corrected serum concentration of nortriptyline</td><td>x 2.89</td><td>x 1.63</td><td>x 0.56</td><td rowspan="2">1.03 ng/ml per mg/day</td></tr><tr><td colspan="3">Significance not determined.</td></tr></table> <p>Note: Genotyping was for *3 through *6, *9, *10, *41, and gene duplication. These are the most important gene variants in this Dutch population.</p>	Results:					Results compared to NM:						PM	IM	UM	value for NM	median dose-corrected serum concentration of nortriptyline	x 3.10 (S)	x 1.63 (S)	x 0.58 (NS)	1.02 ng/ml per mg/day	S for PM versus IM versus NM versus UM			mean dose-corrected serum concentration of nortriptyline	x 2.89	x 1.63	x 0.56	1.03 ng/ml per mg/day	Significance not determined.			mean dose-corrected serum concentration of nortriptyline versus NM: PM: 289% IM: 163% UM: 56%
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ref. 3 van der Schans J et al. Effects of pharmacogenetic screening for CYP2D6 among elderly starting therapy with nortriptyline or venlafaxine: a pragmatic randomized controlled trial (CYSCE Trial). J Clin Psychopharmacol 2019;39:583-90. PMID: 31688392.	4	<p>After randomisation, 18 major depressive disorder patients with a variant CYP2D6 phenotype, aged 60 year or older, received CYP2D6 genotype-guided nortriptyline treatment and 13 patients received not genotype-guided nortriptyline treatment. Follow-up was for 6 weeks and could be extended to 8 weeks for patients not reaching an adequate nortriptyline concentration within 6 weeks. Nortriptyline treatment became only genotype-guided after approximately 2 weeks of treatment, because genotypes were not known at treatment start. The dosing recommendations in the genotype-guided treatment arm were derived from the 2018 KNMP Pharmacogenetics Working Group guidelines: 75% of the normal dose for IM, 50% for PM, and 150% for UM. 4 IM were not randomised, because their genotype could only be determined later because of a temporal inability to determine the *5 allele. In both treatment arms, therapeutic drug monitoring was performed every 2 weeks.</p> <p>Attainment of an adequate nortriptyline dose was defined as a therapeutic nortriptyline concentration and no dose adjustment within the previous 3 weeks.</p> <p>Frequency and severity (i.e., mild, moderate, and serious) of adverse events were measured every 2 weeks by a shorter and modified version of the Antidepressant Side-Effect Checklist,</p> <p>Comedication affecting nortriptyline pharmacokinetics (e.g. CYP2D6 inhibitors) and other antidepressants were excluded, but comedication with possible pharmacodynamic interaction was not.</p> <p>Based on the assumption that genotype-guided treatment would reduce the time to adequate dose with 50%, a power of 100% was calculated to require a sample size of 48 patients reaching adequate dose per treatment arm.</p> <p>Genotyping:</p> <table><tr><td>Genotype-guided arm</td><td>Not genotype-guided arm</td></tr><tr><td>- 9x IM</td><td>- 9x IM</td></tr><tr><td>- 7x PM</td><td>- 4x PM</td></tr><tr><td>- 2x UM+(*1/*41)x2</td><td></td></tr></table> <p>Results:</p>	Genotype-guided arm	Not genotype-guided arm	- 9x IM	- 9x IM	- 7x PM	- 4x PM	- 2x UM+(*1/*41)x2		Authors' conclusion: 'The results of this study do not support pharmacogenetic CYP2D6 screening to accelerate dose adjustment for nortriptyline and venlafaxine in older patients with depression.'																							
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PM: A
IM: A
UM: AA

Results:

Results compared to NM:

	PM	IM	UM	value for NM
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mean dose-corrected serum concentration of nortriptyline versus NM:
PM: 289%
IM: 163%
UM: 56%

Note: Genotyping was for *3 through *6, *9, *10, *41, and gene duplication. These are the most important gene variants in this Dutch population.

ref. 3

van der Schans J et al.
Effects of pharmacogenetic screening for CYP2D6 among elderly starting therapy with nortriptyline or venlafaxine: a pragmatic randomized controlled trial (CYSCE Trial).
J Clin Psychopharmacol
2019;39:583-90.
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After randomisation, 18 major depressive disorder patients with a variant CYP2D6 phenotype, aged 60 year or older, received CYP2D6 genotype-guided nortriptyline treatment and 13 patients received not genotype-guided nortriptyline treatment. Follow-up was for 6 weeks and could be extended to 8 weeks for patients not reaching an adequate nortriptyline concentration within 6 weeks. Nortriptyline treatment became only genotype-guided after approximately 2 weeks of treatment, because genotypes were not known at treatment start. The dosing recommendations in the genotype-guided treatment arm were derived from the 2018 KNMP Pharmacogenetics Working Group guidelines: 75% of the normal dose for IM, 50% for PM, and 150% for UM. 4 IM were not randomised, because their genotype could only be determined later because of a temporal inability to determine the *5 allele. In both treatment arms, therapeutic drug monitoring was performed every 2 weeks.

Attainment of an adequate nortriptyline dose was defined as a therapeutic nortriptyline concentration and no dose adjustment within the previous 3 weeks.

Frequency and severity (i.e., mild, moderate, and serious) of adverse events were measured every 2 weeks by a shorter and modified version of the Antidepressant Side-Effect Checklist,

Comedication affecting nortriptyline pharmacokinetics (e.g. CYP2D6 inhibitors) and other antidepressants were excluded, but comedication with possible pharmacodynamic interaction was not.

Based on the assumption that genotype-guided treatment would reduce the time to adequate dose with 50%, a power of 100% was calculated to require a sample size of 48 patients reaching adequate dose per treatment arm.

Genotyping:

Genotype-guided arm

- 9x IM

- 7x PM

$$- 2x \text{ UM} + (*1/*41)x2$$

Not genotype-guided arm

- 9x IM

- 4x PM

Geno-
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ref. 3, continuation	genotype-guided treatment : AA	<table><tr><td colspan="4">Results for genotype-guided treatment compared to not genotype-guided treatment:</td></tr><tr><td colspan="2">time to adequate dose</td><td colspan="2">NS</td></tr><tr><td colspan="2">nortriptyline dose at study endpoint</td><td colspan="2">NS</td></tr><tr><td colspan="2">overall frequency of adverse events</td><td colspan="2">NS</td></tr><tr><td colspan="2">overall severity of adverse events</td><td colspan="2">NS</td></tr><tr><td colspan="4">Note: The lack of a difference in dose at study end-point could indicate a lack of genotype-based dose prescription by the physicians.</td></tr><tr><td colspan="4">Note: The time to adequate dose was significantly shorter for the genotype-guided treatment arm and showed a trend for being shorter (P between 0.05 and 0.10) for the non-genotype guided arm compared to a not genotype-guided control group consisting mainly of NM. Thus, the beneficial effects of genotyping in depressed patients might be in a faster titration among patients with a NM genotype.</td></tr><tr><td colspan="4">Note: Genotyping was for *3 through *6, *10, *17, *41, and gene duplication. These are the most important gene variants in this Dutch population.</td></tr></table>	Results for genotype-guided treatment compared to not genotype-guided treatment:				time to adequate dose		NS		nortriptyline dose at study endpoint		NS		overall frequency of adverse events		NS		overall severity of adverse events		NS		Note: The lack of a difference in dose at study end-point could indicate a lack of genotype-based dose prescription by the physicians.				Note: The time to adequate dose was significantly shorter for the genotype-guided treatment arm and showed a trend for being shorter (P between 0.05 and 0.10) for the non-genotype guided arm compared to a not genotype-guided control group consisting mainly of NM. Thus, the beneficial effects of genotyping in depressed patients might be in a faster titration among patients with a NM genotype.				Note: Genotyping was for *3 through *6, *10, *17, *41, and gene duplication. These are the most important gene variants in this Dutch population.				
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ref. 4 Berm E et al. Relation between CYP2D6 genotype, phenotype and therapeutic drug concentrations among nortriptyline and venlafaxine users in old age psychiatry. Pharmacopsychiatry 2016;49:186-190. PubMed PMID: 27101231.	3 PM: AA IM: AA	<p>38 patients with major depressive disorder aged 60 years or older with a mean age of 72 years were treated with nortriptyline. Dosing was adapted based on clinical effects and therapeutic drug monitoring. Therapeutic drug monitoring was performed 3, 5 and 12 weeks after start of nortriptyline (in respectively 38, 33 and 27 patients). The reason for patients not completing therapeutic drug monitoring was loss to follow-up. Co-medication with psychotropic drugs was restricted to oxazepam, temazepam, haloperidol or risperidone, but somatic medication with effect on CYP2D6 was not excluded.</p> <p>Genotyping: - 25x NM - 10x IM - 3x PM</p> <p>Results:</p> <table><tr><td colspan="4">Results compared to NM:</td></tr><tr><td colspan="2"></td><td>PM</td><td>IM</td><td>value for NM</td></tr><tr><td rowspan="3">% of patients with a supratherapeutic nortriptyline plasma concentration (> 150 ng/ml)</td><td>3 weeks</td><td colspan="2">NS for PM versus IM versus NM</td><td>16%</td></tr><tr><td>5 weeks</td><td colspan="2">trend for an increase for PM versus IM versus NM (p = 0.07) (NS)</td><td>12%</td></tr><tr><td>12 weeks</td><td colspan="2">NS for PM versus IM versus NM</td><td>20%</td></tr></table> <p>Note: Genotyping was for *3 and *4. Next to gene multi-plication, these are the most important gene variants in this Dutch population.</p>	Results compared to NM:						PM	IM	value for NM	% of patients with a supratherapeutic nortriptyline plasma concentration (> 150 ng/ml)	3 weeks	NS for PM versus IM versus NM		16%	5 weeks	trend for an increase for PM versus IM versus NM (p = 0.07) (NS)		12%	12 weeks	NS for PM versus IM versus NM		20%	Authors' conclusion: 'Genotype information could be used as a valuable tool, in addition to therapeutic drug monitoring, to prevent supratherapeutic drug levels of nortriptyline or venlafaxine in elderly patients with a PM genotype.'										
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	12 weeks	NS for PM versus IM versus NM		20%																															
ref. 5	4	284 patients with moderate to severe unipolar disorder	Authors' conclusion:																																

<div>Hodgson K et al. Exploring the role of drug-metabolising enzymes in antidepressant side effects. Psychopharmacology (Berl) 2015;232:2609-17. PubMed PMID: 25761838.</div> <div>ref. 5, continuation</div>	<div>PM: AA IM: AA UM: AA</div>	<div>were treated with nortriptyline for 12 weeks. Adverse event data were available for 251 patients. Nortriptyline was initiated at 50 mg/day and titrated to a target dose of 100 mg/day within the first 2 weeks unless adverse events limited dose increase, and could be further increased to 150 mg/day (and up to 200 mg/day if there was clinical agreement that a higher dose was needed). Dose titration was informed by assessments of depressive symptoms and adverse events. The presence or absence of 21 adverse events was assessed weekly with the self-report Antidepressant Side Effect Checklist (ASEC), which was also administered prior to treatment. Associations with total adverse event burden were tested using linear models, whilst the weekly presence/absence of each specific adverse event was examined using logistic models. When considering the 21 different adverse events, Bonferroni correction for multiple testing was applied (significance if $p < 0.002381$ (i.e. $< 0.05/21$)). To ensure that reports of adverse events were not confounded by the severity of depression, MADRS scores were entered as a covariate in all analyses, along with baseline reports of adverse events, age, sex, linear and quadratic effects of time and centre of recruitment. When testing CYP2D6 genotype as a predictor, both CYP2D6-inhibiting medication and dose of nortriptyline were used as covariates. Finally, CYP2D6 genotype was considered as a predictor of time to study discontinuation, using a survival Cox proportional hazards model. Covariates of age, sex, centre, baseline depression and baseline total adverse event score were included in the model. Co-medication with psychotropic drugs was restricted to occasional use of hypnotics. Other medication was not excluded, but CYP2D6-inhibiting co-medication was controlled for. In week 8, no patients used CYP2D6 inducers and 4.7% used weak CYP2D6 inhibitors (combined oral contraceptive pill, amiodarone or ranitidine). The smallest sample size included in these analysis was the 168 patients taking nortriptyline with both plasma concentration and dose information available. It was calculated that in this sample, it is possible to detect an effect size explaining 4.7% of the variance in outcome with 80% power, at a p value threshold of 0.05. This corresponds to 0.52 points on the ASEC when measuring total adverse event burden. For study drop-out, hazard ratios of 0.64 (or 1.56) could be detected at $p < 0.05$ with 80% power.</div> <div>Genotyping: - 238x NM+IM (gene dose 1.5-2 and gene dose 1/0) - 20x IM (gene dose 0.5 and gene dose 0.5/0.5) - 20x PM - 6x UM</div> <div>Results:<table><tr><td colspan="2">There was no association of CYP2D6 genotype with:</td></tr><tr><td colspan="2">- total number of adverse events (NS, also NS for PM versus IM+NM+UM)</td></tr><tr><td colspan="2">- the following specific adverse events (all NS):</td></tr><tr><td>dry mouth</td><td>problems with urination</td></tr><tr><td>drowsiness</td><td>palpitations</td></tr><tr><td>insomnia (difficulty sleeping)</td><td>feeling light-headed on standing</td></tr></table></div>	There was no association of CYP2D6 genotype with:		- total number of adverse events (NS, also NS for PM versus IM+NM+UM)		- the following specific adverse events (all NS):		dry mouth	problems with urination	drowsiness	palpitations	insomnia (difficulty sleeping)	feeling light-headed on standing	<div>'In this sample where antidepressant dosage is titrated using clinical judgement, P450 genotypes do not explain differences between patients in side effects with antidepressants.'</div>
There was no association of CYP2D6 genotype with:															
- total number of adverse events (NS, also NS for PM versus IM+NM+UM)															
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ref. 5, continuation		<table><tr><td>blurred vision</td><td>feeling like the room is spinning around</td></tr><tr><td>headache</td><td>sweating</td></tr><tr><td>constipation</td><td>increased body temperature</td></tr><tr><td>diarrhoea</td><td>tremor</td></tr><tr><td>increased appetite</td><td>disorientation</td></tr><tr><td>decreased appetite</td><td>yawning</td></tr><tr><td>nausea or vomiting</td><td>weight gain</td></tr><tr><td>problems with sexual function</td><td></td></tr></table>	blurred vision	feeling like the room is spinning around	headache	sweating	constipation	increased body temperature	diarrhoea	tremor	increased appetite	disorientation	decreased appetite	yawning	nausea or vomiting	weight gain	problems with sexual function		
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		- study discontinuation (NS)																	
	Note: In this study there was also no correlation of plasma nortriptyline concentrations in week 8 with the total number of adverse events and with specific adverse events other than dry mouth. Nine European centres participated in the study and a significant effect of the centre of recruitment on nortriptyline concentrations was observed.																		
	Note: Genotyping was for 33 variants with the Roche AmpliChip P450.																		
ref. 6 Hodgson K et al. Genetic differences in cytochrome P450 enzymes and antidepressant treatment response. J Psychopharmacol 2014;28:133-41. PubMed PMID: 24257813.	4	Efficacy data for 334 patients from the same study as Hodgson 2015 were analysed. Of the 79% of patients who did not drop out of the study before week 8, nortriptyline plasma concentrations were measured for 161 patients and 10-hydroxynortriptyline plasma concentrations for 158 patients. The mean nortriptyline dose in week 8 was 104.9 mg/day, resulting in a mean nortriptyline plasma concentration of 92.6 ng/ml. The severity of depressive symptoms was measured weekly, using the Montgomery-Åsberg Depression Rating Scale (MADRS). Prior to treatment, mean scores on the MADRS were 28.76. Trough plasma concentrations of nortriptyline and 10-hydroxynortriptyline were measured in week 8. For ease of interpretation, standardised plasma concentration measurements were calculated, with a mean of 0 and a standard deviation of 1. Significant differences were observed in treatment response outcomes in patients with and without plasma concentration measurements who remained in the study until at least week 8. Patients with plasma concentration measurements available were more likely to have responded to treatment than those without plasma concentration measurements (S). All analyses were performed with linear mixed effect models including age, sex, cytochrome CYP2D6-inhibiting co-medication and centre of recruitment as covariates. Daily dose of drug was entered as a covariate into the model investigating the effect of CYP2D6 genotype on standardised plasma concentrations to consider dose-independent effects (CYP2D6 genotype was found to be unrelated to nortriptyline dose). Baseline depression severity, linear and quadratic effects of time, and individual were included as covariates in the model investigating the effect of CYP2D6 genotype on treatment response. Co-medication with CYP2D6 inhibitors had a significant effect on both nortriptyline and 10-hydroxynortriptyline plasma concentrations, but all analyses corrected for this co-medication and results were similar when patients	Authors' conclusion: 'While there is a significant relationship between the CYP450 genotype and serum concentrations of escitalopram and nortriptyline, the genotypes are not predictive of differences in treatment response for either drug.'																

ref. 6, continuation		<p>taking CYP2D6 inhibitors were excluded from the analysis.</p> <p>Uher 2012 calculated that, for studies addressing predictors of antidepressant treatment outcomes, continuous biomarkers (such as serum levels) should explain at least 6.3% of the variance in treatment response in order to be clinically significant. It was calculated that a sample size of $n = 120$ would be needed to detect an effect size of this magnitude with $p = 0.05$, and power of 80%. This study exceeds this sample size, and thus is adequately powered to detect clinically significant associations between serum levels of antidepressant and treatment response.</p> <p>Genotyping (calculated with the percentages for all patients (treated with nortriptyline or escitalopram)):</p> <ul style="list-style-type: none">- 273x NM+IM (gene dose 1.5-2 and gene dose 1/0)- 26x IM (gene dose 0.5 and gene dose 0.5/0.5)- 24x PM- 11x UM <p>Results:</p> <table><tr><td>Results for PM versus IM versus (NM+gene dose 1/0) versus UM:</td></tr><tr><td>- no difference in treatment response (NS)</td></tr><tr><td>- increase in the (dose-corrected) nortriptyline plasma concentration (S)</td></tr><tr><td>- decrease in the (dose-corrected) 10-hydroxynortriptyline plasma concentration (S)</td></tr><tr><td>- no difference in nortriptyline dose (NS)</td></tr></table> <p>Note: In this study there was also no correlation of nortriptyline plasma concentrations with treatment response (NS). Higher 10-hydroxynortriptyline plasma concentrations were associated with poorer treatment response, but not after correction for drug dose. Because dose titration was based on depressive-symptoms and adverse events, higher drug doses were prescribed to patients failing to adequately respond to treatment. Nine European centres participated in the study and a significant effect of the centre of recruitment on nortriptyline dose and dose-corrected nortriptyline concentrations was observed.</p>	Results for PM versus IM versus (NM+gene dose 1/0) versus UM:	- no difference in treatment response (NS)	- increase in the (dose-corrected) nortriptyline plasma concentration (S)	- decrease in the (dose-corrected) 10-hydroxynortriptyline plasma concentration (S)	- no difference in nortriptyline dose (NS)	
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ref. 7 Lee SY et al. Sequence-based CYP2D6 genotyping in the Korean population. Ther Drug Monit 2006;28:382-7.	3 IM: A UM: AA	<p>16 Korean volunteers (12x NM (3x *1/*1, 8x *1/*10, 1x *2/*10), 3x IM (2x *10/*10, 1x *5/*10), 1x UM (*2N/*10)) received a single dose of nortriptyline 15 mg.</p> <p>IM versus NM:</p> <ul style="list-style-type: none">- AUC NT increased from 743.2 to 1898.4 $\mu\text{g.h/L}$ (S by 155%) <p>UM versus NM:</p> <ul style="list-style-type: none">- AUC NT decreased from 743.2 to 572.0 $\mu\text{g.h/L}$ (NS by 23%)	AUC NT versus NM: IM: 255% UM: 77%					
ref. 8 Lee S et al. A case report of a poor metabolizer of CYP2D6 presented with unusual responses to nortriptyline medication. J Korean Med Sci	2 IM: C	<ul style="list-style-type: none">- A patient receiving nortriptyline 150 mg/day developed side effects (dry mouth, constipation, dizziness), C_{ss} is 471 $\mu\text{g/L}$. No side effects when the dose was reduced to 50 mg/day. Genotype: *5/*10.						

2004;19:750-2. ref. 9 Roberts RL et al. No evidence of increased adverse drug reactions in cytochrome P450 CYP2D6 poor metabolizers treated with fluoxetine or nortriptyline. Hum Psychopharmacol 2004;19:17-23.	3 PM: AA	60 patients, 56x NM+IM (carriers of *1, *2, *9 or *10), 4x PM (*4/*4 or *4/*5) received NT 25-75 mg for 3 days, after which the dose was adjusted based on side effects, concentrations and clinical effect, study duration was 6 weeks, no relevant co-medication; - PM and NM+IM had equal levels of side effects after 6 weeks. - PM had a slightly lower dose after 6 weeks than NM+IM. NOTE: the aim of the study (examining whether PMs suffered more side effects, measured after 3 and 6 weeks) was obscured by the fact that the dose could be adjusted during the study based on side effects.	Authors' conclusion: 'These findings suggest that inability to efficiently metabolize antidepressants that are CYP2D6 substrates does not necessarily lead to increased occurrence of antidepressant-associated adverse drug reactions.'
ref. 10 Dalen P et al. Disposition of debrisoquine and nortriptyline in Korean subjects in relation to CYP2D6 genotypes, and comparison with Caucasians. Br J Clin Pharmacol 2003;55:630-4.	3	10 healthy volunteers, 5x *1/*1, 5x *1/*10, a single dose of 25 mg nortriptyline, no co-medication; - *1/*10: for nortriptyline, an increase in the AUC versus *1/*1 from 1591 to 1672 nM·h (NS by 5%), decrease in Cl _{or} from 1.9 to 1.0 L/kg/h (NS by 47%). For HNT, a decrease in AUC HNT from 2,317 to 2,143 (NS by 8%). Increase in AUC ratio NT/HNT from 0.69 to 0.77 (NS by 12%).	
ref. 11 Murphy GM et al. CYP2D6 genotyping with oligonucleotide microarrays and nortriptyline concentrations in geriatric depression. Neuropsychopharmacol 2001;25:737-43	3 IM: A	36 geriatric patients, 18x NM (5x *1/*1, 12x *1/*2, 1x *1/*10) and 18x IM (2x *1/*3, 4x *1/*4, 1x *5/*10, 3x *2/*10, 2x *2/*2, 4x *2/*4, 1x *3/*4, 1x *4/*4), NT dosed according to target concentration of 50-150 µg/L, with co-medication; - IM: increase in C _{ss} ^b nortriptyline versus NM from 1.3 to 2.9 ng/mL (S by 123%), decrease in dose from 66.9 to 43.3 mg (S by 30%). NOTE: it is not clear to what extent the co-medication had an effect on CYP2D6.	C _{ss} NT versus NM: IM: 223%
ref. 12 Kvist EE et al. Quantitative pharmacogenetics of nortriptyline: a novel approach. Clin Pharmacokinet 2001;40:869-77.	3 PM: AA IM: AA UM: AA	20 patients and 20 healthy study subjects, 5x no functional allele, 17x 1 functional allele, 12x 2 functional alleles, 6x 3 or more functional alleles (*1 and *2: functional alleles, *3, *4 and *5: completely dysfunctional alleles), patients received 50 mg 2-3 times daily, healthy study subjects received a single dose of 25-50 mg, no co-medication; For nortriptyline versus two functional alleles: - no functional allele: decreased Cl _{or} from 65.5 to 25.1 L/h (NS by 62%) - 1 functional allele: decreased Cl _{or} from 65.5 to 45.3 L/h (NS by 31%) - 3 functional alleles: increased Cl _{or} from 65.5 to 85.7 L/h (NS by 31%) - 4 functional alleles: increased Cl _{or} from 65.5 to 105.9 L/h (NS by 62%) - 13 functional alleles: increased Cl _{or} from 65.5 to 278.7 L/h (NS by 325%) The number of functional CYP2D6 alleles explains 21% of the inter-individual variation in Cl _{or} and 34% of the inter-individual variation in the C _{ss} nortriptyline.	Cl _{or} NT versus NM: PM: 38% IM: 69% UM: 185%

ref. 12, continuation		NOTE: genotyping performed, but only the number of functional alleles is presented	
ref. 13 Morita S et al. Steady-state plasma levels of nortriptyline and its hydroxylated metabolites in Japanese patients: impact of CYP2D6 genotype on the hydroxylation of nortriptyline. J Clin Psychopharmacol 2000;20:141-9.	4 IM: A	41 patients, 7x *1/*1, 8x *1/*2, 16x *1/*10, 1x *2/*10, 3x *1/*5, 5x *10/*10, 1x *5/*10, nortriptyline 15-120 mg/day, no relevant co-medication; - 2 mutations (*10/*10, *10/*5): increase in C _{ss} ^b NT versus no mutation from 70.3 to 147 ng/mL/mg/kg (S by 109%), decrease in C _{ss} ^b HNT from 89.6 to 59.8 ng/mL/mg/kg (S by 33%). Increase in ratio NT/HNT from 0.82 to 2.71 (S by 230%). - 1 mutation (*1/*10, *2/*10, *1/*5): increase in C _{ss} ^b NT versus no mutation from 70.3 to 98.4 ng/mL/mg/kg (S by 40%), increase in C _{ss} ^b HNT from 89.6 to 107 ng/mL/mg/kg (NS by 19%). Increase in ratio NT/HNT from 0.82 to 1.04 (NS by 27%).	C _{ss} ^b NT versus NM (*1/*1+*1/*2+*1/*10+*2/*10+*1/*5): IM: 170%
ref. 14 Yue QJ et al. Pharmacokinetics of nortriptyline and its 10-hydroxy metabolite in Chinese subjects of different CYP2D6 genotypes. Clin Pharmacol Ther 1998;64:384-90.	3 IM: A	15 healthy volunteers, 5x *1/*1, 5x *1/*10, 5x *10/*10, a single dose of 25 mg nortriptyline, no co-medication; - *10/*10: increase in the AUC NT versus *1/*1 from 1817 to 4002 nM·h (NS by 120%), decrease in Cl _{or} NT from 1.86 to 0.80 L/h/kg (NS by 57%). Decrease in AUC HNT from 2,273 to 1,704 nM·h (S by 25%). Increase in ratio AUC NT/HNT from 0.82 to 2.51 (by 244%). - *1/*10: increase in the AUC NT versus *1/*1 from 1,817 to 2,492 nM·h (NS by 37%), decrease in Cl _{or} NT from 1.86 to 1.39 L/h/kg (NS by 25%). Increase in AUC HNT from 2,273 to 2,975 nM·h (NS by 31%). Increase in ratio AUC NT/HNT from 0.82 to 0.94 (by 15%).	AUC NT versus NM (*1/*1+*1/*10): IM: 186%
ref. 15 Dalen P et al. 10-Hydroxylation of nortriptyline in white persons with 0, 1, 2, 3, and 13 functional CYP2D6 genes. Clin Pharmacol Ther 1998;63:444-52.	3 PM: A IM: A UM: A	20 healthy volunteers, 4x *4/*4, 5x *1/*1, 3x *1/*4, 2x *1/*5, 5x *2x2/*2, 1x *2x13/*1, a single dose of 25 mg NT (UM 50 mg), no co-medication; - 0 functional alleles: increase in AUC NT versus NM from 1,295 to 4,301 nM·h (S by 232%), t _{1/2} is 54.5 h. Decrease in AUC HNT from 1,711 to 1,537 nM·h (NS by 10%), t _{1/2} HNT is 52.2 h. Increase in ratio AUC NT/HNT from 0.77 to 2.89 (S by 275%). - 1 functional allele: increase in AUC NT versus NM from 1,295 to 3,617 nM·h (S by 179%), t _{1/2} is 47.5 h. Increase in AUC HNT from 1,711 to 1,856 nM·h (NS by 8%), t _{1/2} HNT is 39.7 h. Increase in ratio AUC NT/HNT from 0.77 to 2.06 (S by 168%). - 3 functional alleles: decrease in AUC NT versus NM from 1,295 to 860 nM·h (NS by 34%), t _{1/2} is 18.1 h. Increase in AUC HNT from 1,711 to 2,731 nM·h (NS by 60%), t _{1/2} HNT is 17.6 h. Decrease in ratio AUC NT/HNT from 0.77 to 0.32 (S by 58%). - 13 functional alleles: decrease in AUC NT versus NM from 1,295 to 267 nM·h (NS by 79%), t _{1/2} is 19 h. Increase in AUC HNT from 1,711 to 3,442 nM·h (NS by 101%), t _{1/2} HNT is 9.5 h. Decrease in ratio AUC NT/HNT from 0.77 to 0.08 (NS by 90%).	AUC NT versus NM: PM: 332% IM: 279% UM: 59%
ref. 16 Dahl M et al. Steady-state plasma levels of nortriptyline and its 10-hydroxy metabolite: relationship to the CYP2D6	3 PM: A	21 patients, 7x *1/*1, 13x *1/*3 or *1/*4 or *1/*5, 1x *4/*4, nortriptyline 150 mg/day (1 person 100 mg/day), co-medication unknown; - PM: increase in C _{ss} NT versus *1/*1 from 2.60 to 6.40 (S by 146%), decrease in C _{ss} HNT from 5.20 to 4.50 (S by 13%), increase in ratio C _{ss} NT/HNT from 0.5 to	C _{ss} NT versus NM: PM: 246%. IM: 135%.

genotype. Psychopharmacol 1996;123:315-9. ref. 16, continuation	IM: A	1.4 (S by 180%). - IM (*1/*3, *1/*4, *1/*5): increase in C _{ss} NT versus *1/*1 from 2.60 to 3.50 (NS by 35%), decrease in C _{ss} HNT from 5.20 to 3.50 (S by 33%), increase in ratio C _{ss} NT/HNT from 0.5 to 1.0 (S by 100%).	
ref. 17 Chen S et al. The cytochrome P450 2D6 (CYP2D6) enzyme polymorphism: screening costs and influence on clinical outcomes in psychiatry. Clin Pharmacol Ther 1996;60:522-34	1 IM: C PM: C	Side effects occurred in 8 patients (4x *1/*1, 1x *1/*3, 1x *1/*4, 1x *3/*9, 1x *4/*4) receiving nortriptyline 10-75 mg/day; co-medication unknown; Side effects that occurred in IM and PM following administration of NT: - *1/*3: 25-50 mg/day: nervousness, tinnitus - *1/*4: 75-100 mg/day: instability of the knees and nervousness - *3/*9: 10 mg/day: drowsiness, sluggishness - *4/*4: 10 mg/day: anxiety, agitation, nervousness NOTE: no analysis to determine whether the listed side effects could also be symptoms of the condition	
ref. 18 Bertilsson L et al. Molecular basis for rational megaprescribing in ultrarapid hydroxylators of debrisoquine. Lancet 1993;341:63.	2 UM: C	For one patient, the nortriptyline dose had to be increased to 500 mg/day (3-5x the standard dose) in order to achieve therapeutic plasma concentrations and a response. The patient was found to have a CYP2D6 duplication.	
ref. 19 Bertilsson L et al. Slow hydroxylation of nortriptyline and concomitant poor debrisoquine hydroxylation: clinical implications. Lancet 1981;1:560-1.	2 PM: C	A patient exhibited dizziness and hypotension 2 days after starting a low dose of nortriptyline (75 mg/day). Eight days after starting treatment, she complained about increasing fatigue and dizziness and appeared confused. C _{ss} NT was 1,300 nmol/L (normally 200-600 nmol/L at this dose). After twelve days of nortriptyline 25 mg/day, the C _{ss} NT was 742 nmol/L. The side effects disappeared once the dose was reduced to 20 mg/day.	
ref. 20 SmPC Nortrilen (nortriptyline) 11-05-22.	0	<u>Pharmacokinetic properties:</u> The metabolism is subject to genetic polymorphism (CYP2D6).	
ref. 21 SmPC Pamelor (nortriptyline) 09-04-19, USA.	0 PM: A	<u>Drug interactions:</u> Drugs metabolized by P450 2D6 The biochemical activity of the drug metabolizing isozyme cytochrome P450 2D6 (debrisoquin hydroxylase) is reduced in a subset of the Caucasian population (about 7% to 10% of Caucasians are so-called "poor metabolizers"); reliable estimates of the prevalence of reduced P450 2D6 isozyme activity among Asian, African, and other populations are not yet available. Poor metabolizers have higher than expected plasma concentrations of tricyclic antidepressants (TCAs) when given usual doses. Depending on the fraction of drug metabolized by P450 2D6, the increase in plasma concentration may be small, or quite large (8-fold increase in plasma AUC of the TCA).	

^a corrected for the dose

^a corrected for the dose and body weight

[#]: the calculations were based on the assumption that the metabolite 10-hydroxynortriptyline is half as potent as the mother substance nortriptyline.

Risk group	IM with CYP2D6 inhibitor
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Comments:

- After 2010, case reports were not included in the risk analysis, because they did not add enough to the evidence.
The kinetic meta-analysis of Milosavljevic 2021 was not included in the risk analysis, because the meta-analysis included only 1 study for PM (Dalen 1998). In addition, the major IM group (gene dose 1/0) was excluded from the meta-analysis for IM, resulting in inclusion of none of the 5 IM from the study of Dalen 1998 (the only non-Asian study in the meta-analysis). So, this meta-analysis does not provide any information on the major IM group in European countries. In addition, no meta-analysis was performed for UM, so the 6 UM in Dalen 1998 were also ignored. (Milosavljevic F et al. Association of CYP2C19 and CYP2D6 poor and intermediate metabolizer status with antidepressant and antipsychotic exposure: a systematic review and meta-analysis. *JAMA Psychiatry* 2021;78:270-80. PMID: 33237321.)
The study of Benavides 2020 investigating 25 neuropathic pain patients among whom 1 IM and 1 PM was not included in the risk analysis, because the authors indicate that CYP2D6 genotypes have been removed from subsequent analyses due to low number of genetic variants carriers. (Benavides R et al. A functional polymorphism in the ATP-Binding Cassette B1 transporter predicts pharmacologic response to combination of nortriptyline and morphine in neuropathic pain patients. *Pain* 2020;161:619-29. PMID: 31738228.)
- Cost-effectiveness:
 - Berm EJ et al. A model based cost-effectiveness analysis of routine genotyping for CYP2D6 among older, depressed inpatients starting nortriptyline pharmacotherapy. *PLoS One* 2016;11:e0169065. PubMed PMID: 28033366.
Routine genotype-guided therapy for old aged Dutch depressed inpatients starting nortriptyline pharmacotherapy is not cost-effective (costs of € 1,333,000 per quality adjusted life year (QALY) gained) at current genotyping costs (€ 190 per test) compared to not-genotype-guided nortriptyline pharmacotherapy. However at test costs below € 40, genotype-guided therapy could be cost-effective (costs equal to or less than € 50,000 per QALY gained). Genotype-guided therapy consisted of a starting dose of 40% of the normal starting dose for CYP2D6 PM, 100% of the normal starting dose for CYP2D6 IM and NM and 160% of the normal starting dose for CYP2D6 UM.
At genotyping test costs < € 35 per test, genotyping was both better (i.e. resulting in more QALYs) and cheaper than not genotyping.
Varying all other input parameters at genotyping test costs of € 17 per test, showed a 95% probability that genotyping was cost-effective. At genotyping test costs of € 190, the probability was < 1%.
If also the starting dose for IM was changed (to 60% of the normal starting dose), the calculated costs were € 2,380,626 per QALY gained and genotype-guided therapy could be cost-effective at genotyping test costs below € 68.
Direct medical costs were calculated from a health-care insurance payers perspective. Costs were calculated during the dose titration phase (the first 12 weeks of therapy including a maximum of 3 dose adaptations based on therapeutic drug monitoring (TDM) (at 12 days, 43 days and 81 days after start of nortriptyline)). Patients were assumed to be discharged from hospital when antidepressant dose titration was completed. Patients discontinuing nortriptyline were assumed to receive tranylcypromine thereafter. For 1000 patients, not-genotype guided therapy resulted in total costs of € 7,374,826 and a loss of 4.57 QALYs. Genotype-guided therapy resulted in total costs of € 7,528,292 and a loss of 4.46 QALYs. Thus genotype-guided therapy resulted in costs of € 153,466 for a gain of 0.12 QALYs. Costs included in the calculation were hospitalisation costs of € 255.62/day (including costs for medication and the first TDM measurement), TDM costs of € 23.11/measurement, costs for ambulant contact with the psychiatrist of € 190.62, nortriptyline costs of € 0.08 for 10 mg, € 0.15 for 25 mg and € 0.29 for 50 mg, tranylcypromine costs of € 0.96 per 40 mg, and costs of € 188.20 for the genotyping test (genotyping of CYP2D6 *2, *3, *4, *5 and gene duplication). Proportions of therapeutic, sub-, and supratherapeutic plasma concentrations, and the reduction in inadequately dosed patients by starting dose adjustment (35% for both PM and UM) were derived from Jornil J et al. Risk assessment of accidental nortriptyline poisoning: the importance of cytochrome P450 for nortriptyline elimination investigated using a population-based pharmacokinetic simulator. *Eur J Pharm Sci* 2011;44: 265-72. Genotype frequencies (8% for PM, 11% for IM, 2% for UM and 79% for NM), average duration of inpatient care (28.6 days), shorter hospital stay when correctly dosed (13.0%), patients who discontinue therapy after the first dose evaluation (22%) and after second dose evaluation (8.5%) were also derived from literature. Assumptions made were that PMs and IMs could only receive a dose that was either correct or too high and UMs could only receive a dose that was either correct or too low. In addition, after dose adjustment, dosing could not become incorrect in an opposite way.
Main parameters which influenced the costs per QALY gained were the genotyping test costs, the improvement in the duration of hospitalization among correctly dosed patients, mean duration of hospitalization, and the proportion of patients who discontinued nortriptyline pharmacotherapy.
For the scenario in which also the starting dose for IMs was adjusted (to 60% of standard dose), the percentage of IMs being supratherapeutically dosed was assumed to be the average of the NM and PM group (56%) and the effect of dose adaptation was assumed to be the same as for PM and UM (35% reduction of incorrectly dosed patients). With respect to clinical validity, It has been reported that 38% of CYP2D6 IMs

are false positive, i.e. have normal CYP2D6 enzyme activity despite the genetic variation (Rebsamen MC et al. The AmpliChip CYP450 test: cytochrome P450 2D6 genotype assessment and phenotype prediction. Pharmacogenomics J 2009;9:34-41). This means, these patients would be dosed too low when dose adaptations would be made based on genotype. So, although inclusion of dose adaptations for IMs was found to increase the costs savings from € 153,466 to € 122,346 by reduced inpatient care of correctly dosed IMs, it decreased the QALY gain from 0.12 to 0.05 per 1000 patients, due to false positive IM genotypes which resulted in more subtherapeutic dosed patients. Consequently, the costs per QALY gained increased to € 2,400,000 and the cost-effectiveness decreased. At test costs below € 68, genotype-guided therapy could be cost-effective in this scenario (costs equal to or less than € 50,000 per QALY gained). At genotyping test costs < € 66 per test, genotyping was both better (i.e. resulting in more QALYs) and cheaper than not genotyping in this scenario.

- Existing guideline:

Hicks JK et al. Clinical pharmacogenetics implementation consortium guideline (CPIC) for CYP2D6 and CYP2C19 genotypes and dosing of tricyclic antidepressants: 2016 update. Clin Pharmacol Ther 2017;102:37-44, PubMed PMID: 27997040 and October 2019 update on the CPIC site (modifications to CPIC's prior system of genotype-phenotype translation, including downgrading the value assigned to the CYP2D6*10 allele for activity score calculation from 0.5 to 0.25 and changing the phenotype assignment for an activity score of 1 from normal metaboliser to intermediate metaboliser).

CPIC uses the same definition for NM, IM and PM as we do. However, CPIC uses a different definition for UM (gene dose ≥ 2.5 instead of ≥ 2.75), because CPIC did not decide to include gene dose 2.5 in NM until most laboratories can determine which allele has been duplicated and therefore can distinguish between e.g. *1x2/*41 (gene dose 2.5) and *1/*41x2 (gene dose 2). The summary below uses the KNMP definition for NM, PM, IM and UM.

CPIC states that the recommended starting dose of nortriptyline does not need dose adjustment for NM. In addition, CPIC states that a 25% reduction of the recommended dose may be considered for patients with a CYP2D6 gene dose of 0.5. As a reference for this percentage reduction they mention the 2011 publication of our dosing recommendations in Clinical Pharmacology and Therapeutics. However, this dosing recommendation is primarily based on patients with gene dose 1. In addition, for IM we recommended a nortriptyline dose reduction of 50% in that publication, which was decreased to 40% in 2012. Because patients with a CYP2D6 activity score of 1.0 are inconsistently categorised as intermediate or normal metabolisers in the literature, making these studies difficult to evaluate, CPIC classified the strength of the recommendation for gene dose 0.5 as moderate (i.e. there is a close or uncertain balance as to whether the evidence is high quality and the desirable clearly outweigh the undesirable effects). After the October 2019 update, CPIC states that a 25% reduction of the recommended dose may also be considered for patients with a CYP2D6 gene dose of 1. CPIC states that CYP2D6 ultra-rapid metabolisers + gene dose 2.5 have a higher probability of failing nortriptyline pharmacotherapy due to subtherapeutic plasma concentrations, and alternate agents are preferred. CPIC mentions a documented case of a CYP2D6 ultrarapid metaboliser receiving large doses of nortriptyline in order to achieve therapeutic concentrations (Bertilsson L. et al. Extremely rapid hydroxylation of debrisoquine: a case report with implication for treatment with nortriptyline and other tricyclic antidepressants. Ther Drug Monit 1985;7:478-80. (This concerns the same case as in Bertilsson 1993)). CPIC indicates that this case had very high plasma concentrations of the nortriptyline hydroxy-metabolite, which may increase the risk for cardiotoxicity. CPIC states that, if nortriptyline is warranted, there are insufficient data in the literature to calculate a starting dose for a patient with CYP2D6 ultra-rapid metaboliser or gene dose 2.5 status, and therapeutic drug monitoring is strongly recommended.

Based on Bertilsson 1981, CPIC indicates that adverse effects are more likely in CYP2D6 poor metabolisers due to elevated tricyclic plasma concentrations; therefore, alternate agents are preferred. If a tricyclic is warranted, CPIC recommends to consider a 50% reduction of the usual dose, and strongly recommends therapeutic drug monitoring.

The therapeutic recommendations for nortriptyline are indicated below:

Dosing recommendations for nortriptyline for conditions requiring higher doses such as depression based on CYP2D6 phenotype ^a		
Phenotype	Therapeutic recommendation	Classification of recommendation
UM + gene dose 2.5	Avoid nortriptyline use due to potential lack of efficacy. Consider alternative drug not metabolised by CYP2D6. If nortriptyline is warranted, consider titrating to a higher target dose (compared to normal metabolisers). ^b Utilise therapeutic drug monitoring to guide dose adjustments.	Strong ^d
NM	Initiate therapy with recommended starting dose. ^c	Strong ^d
gene dose 1	Consider a 25% reduction of recommended starting dose. ^c Utilise therapeutic drug monitoring to guide dose adjustments. ^b	Optional ^e
gene dose 0.5	Consider a 25% reduction of recommended starting dose. ^c Utilise therapeutic drug monitoring to guide dose adjustments. ^b	Moderate ^f

PM	Avoid tricyclic use due to potential for side effects. Consider alternative drug not metabolised by CYP2D6. If nortriptyline is warranted, consider a 50% reduction of recommended starting dose. ^c Utilise therapeutic drug monitoring to guide dose adjustments. ^b	Strong ^d
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^a Dosing recommendations only apply to higher initial doses of nortriptyline for treatment of conditions such as depression. For conditions at which lower initial doses are used, such as neuropathic pain, CPIC recommends no dose modifications for PM or IM, because it is less likely that PM or IM will experience adverse effects due to supra-therapeutic plasma concentrations of nortriptyline. However, CPIC indicates that these patients should be monitored closely for side effects. In addition, if larger doses of TCA are warranted, CPIC recommends following the gene-based dosing guidelines in the table above. For UM+gene dose 2.5, CPIC recommends considering an alternative agent. Based on predicted and observed pharmacokinetic data in those with depression, CYP2D6 UM+gene dose 2.5 may be at an increased risk of failing nortriptyline therapy for neuropathic pain due to lower than expected drug concentrations (Dworkin RH et al. Pharmacologic management of neuropathic pain: evidence-based recommendations. Pain 2007;13: 237-51).

^b Titrate dose to observed clinical response with symptom improvement and minimal (if any) side effects.

^c Patients may receive an initial low dose of nortriptyline, which is then increased over several days to the recommended steady-state dose. The starting dose in this guideline refers to the recommended steady-state dose.

^d Strong indicates that "The evidence is high quality and the desirable effects clearly outweigh the undesirable effects."

^e Optional indicates that the desirable effects are closely balanced with undesirable effects, or the evidence is weak or based on extrapolations. There is room for differences in opinion as to the need for the recommended course of action.

^f Moderate indicates that "there is a close or uncertain balance" as to whether the evidence is high quality and the desirable clearly outweigh the undesirable effects.

As evidence linking CYP2D6 genotype with nortriptyline phenotype, CPIC mentions Berm 2016, Hodgson 2014, Chua 2013, Piatkov 2011, Bijl 2008, Lee 2006, Kawanishi 2004, Lee 2004, Kvist 2001, Laine 2001, Murphy 2001, Morita 2000, Dalen 1998, Yue 1998, Chen 1996, Dahl 1996, Bertilsson 1993, Bertilsson 1985, Nordin 1985, Woolhouse 1984, Bertilsson 1981, Mellstrom 1981 and Bertilsson 1980. All these studies, except for Bertilsson 1980, Mellstrom 1981, Woolhouse 1984, Nordin 1985, Bertilsson 1985, Laine 2001, Kawanishi 2004, Bijl 2008, and the case reports of Piatkov 2011 and Chua 2013, are included in our risk analysis. Chua 2013 and Piatkov 2011 were not included in our risk analysis because we did not include case reports published after 2010. Bijl 2008 and Kawanishi 2004 were not included in our risk analysis because only a minority of the patients in the studies used nortriptyline (35 of the 1198 patients (among whom 807 TCA users) in Bijl 2008 and 1 of the 8 UM in Kawanishi 2004), and results were not reported separately for nortriptyline. Laine 2001 was not included in our risk analysis, because the study compares UM to UM with paroxetine not to NM. Bertilsson 1985 was not included in our risk analysis, because it describes the same case as Bertilsson 1993. Bertilsson 1980, Mellstrom 1981, Woolhouse 1984 and Nordin 1985 were not included in our risk analysis, because phenotyping was not used to distinguish and compare different pharmacogenetic genotypes. In addition to the studies considered by CPIC, our risk analysis includes the studies of Vos 2023, Ganesh 2021, van der Schans 2019, Hodgson 2015, Roberts 2004, and Dalen 2003. CPIC indicates that these studies provide a high level of evidence for a decreased nortriptyline metabolism in PM and in gene dose 0.5 compared to gene dose 1-2 (based on 5 references for PM and on 2 references for gene dose 0.5). Likewise, CPIC indicates that these studies provide a high level of evidence for an increased nortriptyline metabolism in UM + gene dose 2.5 compared to gene dose 1-2 (based on 4 references, including Laine 2001). In addition, CPIC indicates that these studies provide a high level of evidence for a correlation between the number of CYP2D6 variant alleles and for a correlation of debrisoquine hydroxylation with nortriptyline metabolism (8 references, including Chua 2013, for the number of variant alleles, and the 4 references Bertilsson 1980, Mellstrom 1981, Woolhouse 1984 and Nordin 1985 for the debrisoquine hydroxylation). CPIC indicates that these studies provide a moderate level of evidence for the requirement of a decreased dose of nortriptyline in PM (3 references, including Bijl 2008 and including Hodgson 2014 that does not report an association) and an increased dose of nortriptyline in UM + gene dose 2.5 (Bertilsson 1993) compared to gene dose 1-2. In addition, CPIC indicates that these studies provide a moderate level of evidence for an increased risk of side effects in carriers of no function and decreased function alleles compared to carriers of other alleles (5 references, including Piatkov 2011 and including Hodgson 2014 that does not report an association) and for a decreased response in UM + gene dose 2.5 receiving nortriptyline (3 references including Kawanishi 2004 and including Bertilsson 1985 and Bertilsson 1993 that describe the same case). Finally, CPIC indicates a moderate level of evidence from a pharmacokinetic model using published data for the intrinsic clearance of nortriptyline being a linear function of the number of functional CYP2D6 alleles (Kvist 2001).

CPIC also took other gene-based dosing recommendations in consideration, including the 2008 and 2011 publications of our dosing recommendations in Clinical Pharmacology and Therapeutics.

CPIC also provides therapeutic recommendations based on both CYP2D6 and CYP2C19 genotypes. For CYP2D6 UM+gene dose 2.5 and for CYP2D6 PM the therapeutic recommendations for the different CYP2C19 phenotypes are similar, reflecting the stronger influence of the CYP2D6 phenotype compared to the CYP2C19 phenotype. CPIC indicates that further studies are needed to develop moderate or strong dosing recommendations for TCAs when considering combined CYP2D6/CYP2C19 phenotypes. At the moment, insufficient data are available. Based on Steimer 2005, CPIC mentions that patients carrying at least one

CYP2D6 no function allele and two CYP2C19 normal function alleles had an increased risk of experiencing side effects when administered amitriptyline. This would argue for a therapeutic recommendation also for patients with CYP2D6 gene dose 1, which is the predominant phenotype in this patient group. On 1-12-2023, there was not a more recent version of the recommendations present on the PharmGKB- and on the CPIC-site.

Date of literature search: 30 November 2023.

	Phenotype	Code	Gene-drug interaction	Action	Date
KNMP Pharmacogenetics Working Group decision	PM	3 C	yes	yes	8 February 2024
	IM	4 C	yes	yes	
	UM	3 C	yes	yes	

Mechanism:

Nortriptyline is mainly metabolised by CYP2D6 to the active metabolite E-10-hydroxynortriptyline. This metabolite is approximately half as potent as nortriptyline itself. A CYP2D6 genetic polymorphism may cause a change in the plasma concentration of nortriptyline and its active metabolite.

Nortriptyline is converted by CYP2D6 and CYP2C19 to the inactive metabolite desmethylnortriptyline.

The therapeutic range is 50-150 ng/ml and values higher than 250 ng/ml are considered to be toxic. The Z-hydroxy-metabolites can cause cardiotoxicity and plasma concentrations of Z-hydroxynortriptyline higher than 40 ng/ml are considered to be toxic.

Clinical Implication Score:

Table 1: Definitions of the available Clinical Implication Scores

Potentially beneficial	PGx testing for this gene-drug pair is potentially beneficial. Genotyping can be considered on an individual patient basis. If, however, the genotype is available, the DPWG recommends adhering to the gene-drug guideline	0-2 +
Beneficial	PGx testing for this gene-drug pair is beneficial. It is advised to consider genotyping the patient before (or directly after) drug therapy has been initiated to guide drug and dose selection	3-5 +
Essential	PGx testing for this gene-drug pair is essential for drug safety or efficacy. Genotyping must be performed before drug therapy has been initiated to guide drug and dose selection	6-10 +

Table 2: Criteria on which the attribution of Clinical Implication Score is based

Clinical Implication Score Criteria	Possible Score	Given Score
Clinical effect associated with gene-drug interaction (drug- or diminished efficacy-induced) <ul style="list-style-type: none"> CTCAE Grade 3 or 4 (clinical effect score D or E) CTCAE Grade 5 (clinical effect score F) 	+ ++	
Level of evidence supporting the associated clinical effect grade ≥ 3 <ul style="list-style-type: none"> One study with level of evidence score ≥ 3 Two studies with level of evidence score ≥ 3 Three or more studies with level of evidence score ≥ 3 	+ ++ +++	
Number needed to genotype (NNG) in the Dutch population to prevent one clinical effect grade ≥ 3 <ul style="list-style-type: none"> $100 < \text{NNG} \leq 1000$ $10 < \text{NNG} \leq 100$ $\text{NNG} \leq 10$ 	+ ++ +++	
PGx information in the Summary of Product Characteristics (SmPC) <ul style="list-style-type: none"> At least one genotype/phenotype mentioned OR <ul style="list-style-type: none"> Recommendation to genotype OR <ul style="list-style-type: none"> At least one genotype/phenotype mentioned as a contra-indication in the corresponding section 	+ ++ ++	
Total Score:	10+	0+
Corresponding Clinical Implication Score:		Potentially beneficial