

# VKORC1: phenprocoumon

AA = homozygous allele variant (= -1639 AA = 1173 TT) (strongly increased coumarin sensitivity),  $Cl_{or}$  = oral clearance, mean = (weighted) mean, GA = heterozygous (= -1639 GA = 1173 CT) (increased coumarin sensitivity), GG = homozygous wild-type allele (= -1639 GG = 1173 CC) (normal coumarin sensitivity), HR = hazard ratio, INR = international normalised ratio, NS = non-significant, RR = relative risk, risk ratio, S = significant, SmPC = Summary of Product Characteristics,  $t_{1/2}$  = half-life, VKORC1 = vitamin K epoxide reductase complex subunit 1

**Disclaimer:** The Pharmacogenetics Working Group of the KNMP formulates the optimal recommendations for each phenotype group based on the available evidence. If this optimal recommendation cannot be followed due to practical restrictions, e.g. therapeutic drug monitoring or a lower dose is not available, then the health care professional should consider the next best option.

## Brief summary and justification of choices:

Phenprocoumon reduces blood coagulation by inhibition of VKORC1 enzyme activity. VKORC1 gene variants may lead to reduced production of the VKORC1 protein. Lower phenprocoumon doses are then needed to achieve the desired INR.

AA increases the risk of developing INR > 6, i.e. periods with high bleeding risk, and decreases the maintenance dose. Contrary to acenocoumarol, there is no evidence that a lower initial phenprocoumon dose reduces the percentage of patients with INR > 6 on day 4, but the maintenance dose does decrease by the same extent for both coumarins. One study showed no significant difference in clinical effect for all genotypes combined when using a pharmacogenetic dosing algorithm for the first 5-7 days. However, a later study showed the pharmacogenetic dosing algorithm to increase the percentage of time with a therapeutic INR and decrease the percentage of time with a supratherapeutic INR (> 3.0) for patients younger than 75 years with two or more VKORC1 and/or CYP2C9 variants. However, for patients of 75 years and older, the pharmacogenetic dosing algorithm decreased the percentage of time with a therapeutic INR and increased the percentage of time with a supratherapeutic INR and increased the percentage of time with a supratherapeutic INR (> 3.0) (significantly for the whole group and numerically for patients with two or more VKORC1 and/or CYP2C9 variants for whom significance could not be determined due to the presence of only one such patient in the control group). This might be due to the algorithm being suboptimal for patients of 75 years and older. Based on the observed clinical effects for AA, the KNMP Pharmacogenetics Working Group decided that a recommendation to reduce the initial dose is required for this gene-drug interaction (yes/yes-interaction).

GA appears to have a more pronounced effect on the bleeding risk than the maintenance dose, though data on an increased bleeding risk were not confirmed in other studies. Moreover, GA is the most common genotype among European Caucasians, and the standard dose will therefore be largely based on this genotype. So it does not seem meaningful to recommend additional monitoring. This is why a decision was made that this concerns a gene-drug interaction but that no action is required (yes/no-interaction).

You can find a detailed overview of the observed clinical and kinetic effects per genotype in the background information text of the gene-drug interactions on the KNMP Kennisbank. You might also have access to this background information text via your pharmacy or physician electronic decision support system.

More detailed substantiation of the choice per genotype is given below.

AA: Brehm 2016, Brehm 2013, and Reitsma 2005 did not find a significantly increased risk of major bleeding, although Reitsma did find an increased risk for GA+AA and Brehm 2013 found a trend. Schalekamp 2007 and the extension to this study, Verhoef 2012 found an increased risk of INR > 6 (significant overanticoagulation). Reitsma 2005, Schalekamp 2007 and Verhoef 2012 are all studies performed in the Netherlands where patients were started on anticoagulant therapy and subsequently monitored by the National INR Monitoring Service (Thrombosis Service).

As the initial dose used by most sites of the Thrombosis Service differs for patients < 70 years (either 9-3-1.5 or 6-3-1.5 mg) and for patients  $\geq$  70 years or with relative contraindication(s) (either 12-6-3 or 9-6-3 mg), a decision was made to recommend a percentage decrease in the initial dose equivalent to the decrease in the maintenance dose for AA. The weighted mean of the calculated decrease in maintenance dose for AA is a decrease to 51% of the maintenance dose for GG (median 50%; ranging per study from 46-58%). This was translated to an initial dose of 50% to be more achievable in clinical practice. A decision was also made to

recommend additional monitoring at hospitals, where patients are initiated on anticoagulant therapy by residents or internists.

GA: Reitsma 2005 found an increased risk of major bleeding, but Brehm 2016 and Brehm 2015 did not. Schalekamp 2007 and the extension to this study, Verhoef 2012 found no increased risk of INR > 6 (significant overanticoagulation).

## Recommendation concerning pre-emptive genotyping, including justification of choices:

The Dutch Pharmacogenetics Working Group considers genotyping before starting phenprocoumon to be to be beneficial for drug safety. It is advised to genotype these patients before (or directly after) drug therapy has been initiated to guide drug and dose selection.

The clinical implication of the gene-drug interaction scores 3 out of the maximum of 10 points (with pre-emptive genotyping considered to be beneficial for scores ranging from 3 to 5 points) (see also the clinical implication score tables at the end of this risk analysis):

Despite very careful dose titration by the Dutch Thrombosis Service, the percentage of patients developing INR  $\geq$  6 (severity code D corresponding to CTCAE grade 3) was enhanced for patients homozygous for the variant VKORC1 allele (VKORC1 -1639 AA) compared to patients homozygous for the wild type allele (VKORC1 -1639 GG). This results in 1 out of the maximum of 2 points for the first criterion of the clinical implication score, the clinical effect associated with the gene-drug interaction (1 point for CTCAE grade 3 or 4).

Two studies confirmed VKORC1 -1639 AA to result in a severe clinical effect (score of D corresponding to CTCAE grade 3). However, 39% of the patients in the largest of these studies (Verhoef 2012) were derived from the smallest of these studies (Schalekamp 2007). So, a severe clinical effect of AA was only shown in one independent study. Reitsma 2005 reported an increase in major bleeding in VKORC1 -1639 GA, but the increase did not reach significance in VKORC1 -1639 AA. Because the result of Verhoef 2012 was partly based on the patients in Schalekamp 2007 and because the result in Reitsma 2005 only reached significance for GA but not for AA, both studies were considered to contribute only for 50% to the evidence. So, the total amount of studies confirming a severe clinical effect for VKORC1 -1639 AA was 2 (one study contributing fully and two studies contributing 50%). This results in 2 out of the maximum of 3 points for the second criterion of the clinical implication score, the level of evidence supporting an associated clinical effect grade  $\geq$  3 (2 points for two publications with level of evidence score  $\geq$  3). The number needed to genotype should be deduced from the increase in the percentage of patients with bleeding for VKORC1 -1639 AA. INR > 6 only has a severity code D (CTCAE grade 3), because an increase in INR > 6 corresponds to an increase in bleeding. However, the incidence of bleeding is much lower than the incidence of INR > 6 and patients do not notice INR > 6 if it does not result in bleeding. For this reason, INR > 6 is not suitable for calculation of the number needed to genotype to prevent a serious adverse event. However, there are no studies investigating bleeding that can be employed for calculation of the number needed to genotype. Reitsma 2005 investigated major bleeding, but only mentioned odds ratios, not the incidence of major bleeding in VKORC1 -1639 GG or in the general population. Brehm 2013 and Brehm 2016 investigated bleeding in patients with a ventricular assist device, which is not the major patient group treated with phenprocoumon. In addition, this study is performed in Germany, so without involvement of the Dutch Thrombosis Service. Because data to calculate the number needed to genotype are lacking, no points can be assigned for the number needed to genotype. Because major bleeding is a rare event in patients treated with phenprocoumon, it is not very likely that points could have been assigned (number needed to genotype  $\leq$  1000) if data to calculate the number needed to genotype would have been available. Reitsma 2005 found an OR of 2.6 for major bleeding for VKORC1 -1639 AA compared to VKORC1 -1639 GG and Verhoef 2012 reported 17% of patients to have the AA genotype. Because at low event frequencies, the OR is approximately equal to the relative risk, the difference in risk between AA and GG would be 1.6 times the risk in GG. With 5.88 patients to be genotyped to find one VKORC1 -1639 AA, a number needed to genotype  $\leq$  1000 would require the percentage of VKORC1 -1639 GG with major bleedings to be higher than 0.37%. Because the number needed to genotype could not be calculated and is likely to be higher than 1000, this results in 0 of the maximum of 3 points for the third criterion of the clinical implication score, the number needed to genotype (NNG) to prevent one clinical effect grade  $\geq$  3 (only points for NNG  $\leq$  1000).

The Summary of Product Characteristics (SmPC) of phenprocoumon does not mention any VKORC1 phenotype or genotype. This results in 0 out of the maximum of 2 points for the fourth and last criterion of the clinical implication score, the pharmacogenetics information in the SmPC (only points for at least one genotype/phenotype mentioned in the SmPC).

The table below follows the KNMP nomenclature for the VKORC1 polymorphism and genotypes. The nomenclature used in the table below may therefore differ from the nomenclature used by the authors in the article.

Source	Code	Effect	Comments
ref. 1	3	Data from the 159 patients in Verhoef 2013 who had at least	Author's conclu-
Zhang Y et al.		10 weeks follow-up were reanalysed. Of these patients, 79	sion:
Age-stratified out-		received genotype-guided treatment (55 patients < 75 years of	"The results sup-
come of a genotype-		age and 24 patients ≥ 75 years of age) and 80 received con-	port the use of

guided dosing algo- rithm for acenocou- marol and phenpro- coumon. J Thromb Haemost 2017;15:454-464. PubMed PMID: 27992949. <b>ref. 1, continuation</b>		75 years of aq violations, 49 (33 patients < age) and 58 ii age and 11 p All INRs were ment. Patient chara except for pat genotype-guid respectively 9 Approximately (drugs with a amiodarone, Differences in tic range were	(63 patients < 75 yea ge). After exclusion o patients remained in 575 years of age and n the control group (4 atients $\geq$ 75 years of measured during the cteristics in the differen- tients < 75 year havin ded group compared 22 kg and 85 kg). y half of the patients potentiating effect). N which was included in n percentages of time $\geq$ adjusted for height, yme inducers.	f patients due to the genotype-gu 16 patients ≥ 75 age). e first 12 weeks age higher weigh to the control gr used relevant co None of the patien the algorithms. in or outside the	protocol uided group 5 years of years of of treat- similar, ht in the oup (mean p-medication ents used e therapeu-	genotype-guided dosing for phen- procoumon in pa- tients < 75 years. For patients ≥ 75 years the phenpro- coumon algorithm should be revised and further tested."	
		Genotyping: - 55x GG - 72x GA - 31x AA - 1x genotype Results:	unknown (clinical al	gorithm, < 75 ye	ars)		
			ased algorithm versu	s clinical algorith	ım:		
					value for the clini- cal algo- rithm		
		% of time in the the- rapeutic	< 75 years, no CYP2C9 and VKORC1 variants	NS	53.9%		
		range	< 75 years, one CYP2C9 or VKORC1 variant	NS	63.0%		
			< 75 years, two or more CYP2C9 and/or VKORC1 variants	+ 14.0% (S)	52.1%		
			≥ 75 years, no CYP2C9 and VKORC1 variants	NS	56.0%		
	geno- type- guided		≥ 75 years, one CYP2C9 or VKORC1 variant	NS	67.2%		
	versus not ge- notype- guided therapy , pa-		≥ 75 years, two or more CYP2C9 and/or VKORC1 variants	significance could not be determined (n = 1 in the control group)	55.6%		
	tients		< 75 years	+ 9.5% (S)	55.7%		
	< 75		≥ 75 years	- 17.9% (S)	63.3%		
	years:		A per-protocol analy				
	AA"	AA#		similar results, but t did not reach signifi			
			analysis (p = 0.08 fe	or < 75 years			
		% of time	and p = 0.05 for ≥ 7 < 75 years, no	5 years). NS	16.1%		
		with a	CYP2C9 and				
		suprathe-	VKORC1 variants				

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ref. 1, continuation		rapeutic INR (> 3.0)	< 75 years, one CYP2C9 or VKORC1 variant	NS	18.8%		
				< 75 years, two or more CYP2C9 and/or VKORC1 variants	- 21.7% (S)	40.0%	
			≥ 75 years, no CYP2C9 and VKORC1 variants	NS	13.2%		
	geno- type-		≥ 75 years, one CYP2C9 or VKORC1 variant	+ 21.3% (S)	5.5%		
	guided versus not ge- notype- guided therapy	sus ge- ype- ded	≥ 75 years, two or more CYP2C9 and/or VKORC1 variants	significance could not be determined (n = 1 in the control group)	40.8%		
	, pa-		< 75 years	- 9.6% (S)	27.1%		
	tients		≥ 75 years	+ 27.5% (S)	9.9%		
	≥ 75		A per-protocol analy		3.370		
	years: A		similar results, but t was not significant f	he difference			
		% of time with a subthera- peutic INR (< 2.0)	< 75 years, no CYP2C9 and VKORC1 variants	NS	30.0%		
			< 75 years, one CYP2C9 or VKORC1 variant	NS	18.3%		
			< 75 years, two or more CYP2C9 and/or VKORC1 variants	+ 7.7% (S)	8.0%		
			≥ 75 years, no CYP2C9 and VKORC1 variants	NS	30.8%		
			≥ 75 years, one CYP2C9 or VKORC1 variant	NS	27.3%		
				≥ 75 years, two or more CYP2C9 and/or VKORC1 variants	significance could not be determined (n = 1 in the control group)	3.5%	
			< 75 years	NS	17.2%		
			≥ 75 years	NS	26.9%		
		calculated dose for the pa- tients in the geno- type-gui- ded group (in mg/day)	A per-protocol analy similar results.				
			< 75 years, no CYP2C9 and VKORC1 variants	+ 0.60 (S)	2.4		
			< 75 years, one CYP2C9 or VKORC1 variant	NS	2.2		
			< 75 years, two or more CYP2C9 and/or VKORC1 variants	- 0.70 (S)	2.3		
			≥ 75 years, no	+ 0.60 (S)	1.8		
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ref. 1, continuation			CYP2C9 and			1
rei. i, continuation			VKORC1 variants			
			≥ 75 years, one CYP2C9 or VKORC1 variant	NS	1.9	
			≥ 75 years, two or more CYP2C9 and/or VKORC1 variants	- 0.40 (S)	1.7	
			< 75 years	- 0.20 (S)	2.3	
			≥ 75 years	NS	1.8	
		calculated dose for the pa-	< 75 years, no CYP2C9 and VKORC1 variants	+ 0.7 (S)	2.9	
		tients in the control group	< 75 years, one CYP2C9 or VKORC1 variant	NS	2.3	
		(in mg/day)	< 75 years, two or more CYP2C9 and/or VKORC1 variants	+ 0.6 (S)	1.6	
			≥ 75 years, no CYP2C9 and VKORC1 variants	- 0.6 (S)	2.2	
			≥ 75 years, one CYP2C9 or VKORC1 variant	NS	1.8	
			≥ 75 years, two or more CYP2C9 and/or VKORC1 variants	not deter- mined		
			< 75 years	NS	2.2	
			≥ 75 years	trend for a decrease (p = 0.10) (NS)	1.9	
		therapeutic IN type, but an ir	thors indicate that the IR might not represe nsufficient age-related ded algorithm.	nt an interaction	with geno-	
<b>ref. 2</b> Brehm K et al. Genetic variations of	3	63 patients w phenprocoum	ith ventricular assist on for a period of 0.8 b), were retrospective	35-65.02 months	s (mean	Author's conclu- sion: "VKORC polymor-
phenprocoumon metabolism in patients with ventri- cular assist devices. Eur J Cardiothorac Surg 2016;50:275-80.		reached a sta Standard anti therapy with a mg/day. How was 2-2.5 and	started in the intensiv able condition and ora coagulation protocol a target INR of 2-3 plu ever, the target INR f d acetylsalicylic acid f recurrent bleeding e	al food intake wa included phenpi us acetylsalicylic or the majority c was discontinue	as possible. rocoumon c acid 100 of patients d after the	phism affects phenprocoumon dosage in the initiation as well as the maintenance phase. High rates of bleeding compli-
PubMed PMID: 26984978.		the patients re period were d Major bleedin medical thera medical treatr and mucosal bleeding in 19 ted in 35 patie	eceiving a ventricular leceased and not incl g was defined as ble py and minor bleedin ment (epistaxis, bleed bleeding). There wer patients. Multiple m ents.	assist device in luded. eding requiring i ing as bleeding n ding after dental e 31 episodes o inor bleedings w	the same imminent ot requiring procedures f major vere repor-	cations and throm- boembolic events were found at the beginning of phen- procoumon thera- py in ventricular assist device pa- tients. Therefore, a
		boses of the p	7 thromboembolic ev oump necessitating c okes, 6 transient isch	hange of the de	vice, 4	genotype-guided dosage algorithm

ref. 2, continuation		infarction, 1 central retinal arter embolism. High complication (major bleed were observed particularly in th Relevant co-medication was no The influence of genotypes on events was evaluated by univar sis. Genotyping: - 26x GG - 20x GA - 17x AA Results: Results compared to GG:	ing or thron e early pos t excluded. the occurre riate logistic	nboembolis t-operative nce of adve regressior	m) rates period. erse n analy-	might be useful in ventricular assist device patients."
			AA	GA	value for	
			NO	NO	GG	
		bleeding events thromboembolic events	NS NS	NS NS	┨─────┤	
	AA: A	dose corrected INR increase	x 2.3 (S)	x 2.3 (S)	0.04	Maintenance
	GA: A	during the loading phase				dose versus GG: GA: 66%
		phenprocoumon maintenan- ce dose (in mg/week)	x 0.58 (S)	x 0.66 (S)	15.7	AA: 58%
ref. 3	3	198 patients with various INR ta			therapy	Authors' conclu-
Botton et al. A new algorithm for weekly phenprocou- mon dose variation in a southern Brazi- lian population: role for CYP2C9, CYP- 3A4/5 and VKORC1 genes polymor- phisms.	GA: A	with phenprocoumon. Relevant ded. Genotyping: - 73x GG - 100x GA - 25x AA Maintenance dose versus GG: - GA: decrease by 24% (from 1				sion: 'Polymorphisms 1639G>A and 1173C>T in VKORC1 are associated with lower doses.' Maintenance dose versus GG:
Basic Clin Pharma- col Toxicol 2014;114:323-9. PubMed PMID: 24224579.	AA: A	- AA: decrease by 43% (from 1	8.63 mg to	10.67 mg) (	(S)	GA: 76% AA: 57%
ref. 4 Verhoef TI et al. A randomized trial of genotype-guided dosing of acenocou- marol and phenpro- coumon. N Engl J Med 2013;369:2304-12. PMID:24251360.	3 geno-	Patients without prior exposure ted with phenprocoumon for 12 during the first 5-7 days was gu ded CYP2C9 and VKORC1 ger algorithm based on clinical info target was 2.0-3.0. Relevant co There were no amiodarone use boembolism (17%) were comm weight heparin until achieving t Genotypering: - 57x GG - 73x GA - 34x AA Genotype-based algorithm vers - The time that the INR was in t out the treatment did not incre	weeks. Th notypes (n= rmation onl -medication ers. Patients only given herapeutic	e dose adm algorithm tl 83) or guid y (n=81). Tl n was not e s with venou low-molecu INR.	hat inclu- ed by an he INR xcluded. us throm- lar-	Authors' conclu- sion: 'Genotype-guided dosing of aceno- coumarol or phen- procoumon did not improve the per- centage of time in the therapeutic range during the 12 weeks after the initiation of thera- py.'
	type- guided versus	<ul> <li>There was a trend towards an INR was in the therapeutic rai trend was an increase by 19%</li> </ul>	increase in nge in the f	irst four wee	eks; the	

ref. 4, continuation	not ge-	0.05)				
rei. 4, continuation	notype-	,	ence in the incide	nce of adverse e	vents and	
	guided		embolism (NS)			
	therapy		ence in the perce			
	: AA		entage of time wit			
			ieving an INR in t		nge and the time	
		until ach	ieving a stable do	se (NS)		
		When the	acenocoumarol a	nd phenprocoum	on data were	
			e time that the INI			
		the first for	ur weeks of treatn	nent was higher f	or the genotype-	
			prithm than for the			
					ere no differences	Authors' conclu
Baranova EV et al. Dosing algorithms			5-8 and weeks 9-1 ' suggested the hi			Authors' conclu- sion:
for vitamin K anta-			ge in the first 4 we			'Four weeks after
gonists across			CYP2C9 and or V			therapy initiation,
VKORC1 and CYP-			e-based algorithm		lgorithm:	genotype-guided
2C9 genotypes.			genotype	first 4 weeks	first 12 weeks	dosing increased
J Thromb Haemost 2017;15:465-472.			group			the mean percen- tage of time in the
PubMed PMID:		% of time in	no CYP2C9 and VKORC1	+ 14.68% (S,	trend for an	therapeutic INR
28063245.		time in the the-	variants	but only a trend after	increase, p = 0.087 (NS)	range in the
		rapeu-	Varianto	Bonferroni	0.007 (110)	VKORC1 GG-
		tic		correction		CYP2C9*1*1 sub-
		range		(significance		group as compa- red with the non-
				for $p < 0.001$ )		genetic dosing
				(NS, p = 0.002))		(difference of
			one or more	NS	NS	14.68%). For the
			CYP2C9			VKORC1 AA-
			variants and			CYP2C9*1*1 sub-
			no VKORC1			group, there was a higher risk of
			variant no CYP2C9	NS	NS	under-anticoagula-
			variants and	110	INO	tion with the geno-
			one VKORC1			type-guided algo-
			variant			rithm (difference of 19.9%). Twelve
			one or more	NS	NS	weeks after thera-
			CYP2C9			py initiation, no
			variants and one VKORC1			statistically signifi-
			variant			cant differences in
			no CYP2C9	NS	NS	anticoagulation control between
			variants and			trial arms were
			two VKORC1			noted across the
			variants one or more	NS	NS	VKORC1-CYP-
			CYP2C9			2C9 genetic sub-
			variants and			groups. EU-PACT genetic-
			two VKORC1			guided dose initia-
			variants			tion algorithms for
		% of	no CYP2C9	NS	NS	acenocoumarol
		time with a	and VKORC1 variants			and phenprocou-
		supra-	one or more	NS	NS	mon could have predicted the dose
		thera-	CYP2C9			overcautiously in
		peutic	variants and			the VKORC1 AA-
		INR (>	no VKORC1			CYP2C9*1*1 sub-
		3.0)	variant	NC		group. Adjustment
			no CYP2C9 variants and	NS	NS	of the genotype-
L		L	variants and			guided algorithm

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ref. 4, continuation			one VKORC1 variant			could lead to a higher benefit of
			one or more CYP2C9 variants and one VKORC1 variant	trend for a decrease, p = 0.098 (NS)	NS	genotyping.'
			no CYP2C9 variants and two VKORC1 variants	trend for a decrease, p = 0.087 (NS)	trend for a decrease, p = 0.057 (NS)	
			one or more CYP2C9 variants and two VKORC1 variants	- 20.50% (S, but NS after Bonferroni correction)	NS	
		% of time with a sub- thera-	no CYP2C9 and VKORC1 variants	- 20.29% (S, before and after Bonfer- roni correc- tion)	trend for a decrease, p = 0.083 (NS)	
		peutic INR (< 2.0)	one or more CYP2C9 variants and no VKORC1 variant	NS	NS	
			no CYP2C9 variants and one VKORC1 variant	NS	trend for an increase, p = 0.081 (NS)	
			one or more CYP2C9 variants and one VKORC1 variant	NS	NS	
			no CYP2C9 variants and two VKORC1 variants	+ 19.89% (S, before and after Bonfer- roni correc- tion)	+ 12.99% (S, but NS after Bonferroni correction)	
			one or more CYP2C9 variants and two VKORC1 variants	trend for an increase, p = 0.075 (NS)	NS	
<b>ref. 5</b> Abduljalil K et al.			vere similar after s			
	3	278 patien	s separately and ts with various IN procoumon. Relev	R targets on main	ntenance therapy	Authors' conclu- sion:
Quantifying the effect of covariates on concentrations and effects of stea-		ded. A pha significant	armacokinetic/ pha effects of CYP3A s of CYP2C9 inhi	armacodynamic r inhibitors/induce	nodel showed rs, but no signifi-	'The model confir- med CYP2C9 and VKORC1 variants as the major pre-
dy-state phenpro- coumon using a population pharma- cokinetic/pharma-		Genotypin - 97x GG - 130x GA - 51x AA	-			dictors of variability in phenprocoumon concentrations and effects, together
codynamic model. Clin Pharmacokinet 2013;52:359-71. PMID: 23519598.	GA: A		ce dose versus G ease by 20% (froi		3.36 mg per week)	with body weight, age, comedication with CYP3A modi- fiers (i.e. inhibitors
	AA: A		ease by 49% (fror	m 16.66 mg to 8.8	56 mg per week)	or inducers) and

ref. 5, continuation			presence of atrial
		A pharmacokinetic/pharmacodynamic model showed signifi-	fibrillation.'
		cant effects of the VKORC1 variant on sensitivity to phenpro-	
		coumon.	Maintenance
			dose versus GG:
		NOTE: Genotyping was for the polymorphism 1173C>T.	GA: 80%
	<u></u>		AA: 51%
ref. 6	3	178 patients with a mechanical heart valve prosthesis recei-	Authors' conclu-
Brehm K et al. Mechanical heart		ved phenprocoumon for on average 6.7 years. The INR target was 2.5-3.5. Relevant co-medication was not excluded.	sion:
valve recipients:		was 2.5-5.5. Relevant co-medication was not excluded.	'VKORC polymor- phism affects
anticoagulation in		Genotyping:	phenprocoumon
patients with genetic		- 62x GG	dosage and anti-
variations of phen-		- 91x GA	coagulation-related
procoumon metabo-		- 25x AA	complication rates
lism.			in mechanical
Eur J Cardiothorac		GA versus GG:	heart valve reci-
Surg		- No difference in the risk of minor bleeding (NS)	pients. Genotyping
2013;44:309-14.	<b></b>	- No difference in the risk of major bleeding (NS)	may help to identi-
PMID:23423913.	GA: C	- Increased risk of INR > 5 with OR = 5.4 (95% CI: 1.2-24.1)	fy patients at parti-
		(S) - No difference in the risk of venous thromboembolism (NS)	cular risk of anti-
		- The maintenance dose decreased by 17% (from 19.0 to 15.8	coagulation-related
		mg/week) (NS)	complications.'
		AA versus GG:	Maintenance
		- No difference in the risk of minor bleeding (NS)	dose versus GG:
		- No significant difference in the risk of major bleeding, but	GA: 83%
		there was a trend towards an increased risk (from 13% to	AA: 46%
		27% of the patients; $OR = 2.5$ ; 95% CI: 0.77-10; $p = 0.1$ )	
		- No difference in the risk of $INR > 5$ (NS)	
	AA: A	<ul> <li>No difference in the risk of venous thromboembolism (NS)</li> <li>The maintenance dose decreased by 54% (from 19.0 to 8.7</li> </ul>	
		mg/week) (S)	
ref. 7	3	Data from 747 phenprocoumon users from two different	Authors' conclu-
Verhoef TI et al.	•	studies were analysed. 39% of the patients participated in the	sion:
Long-term anticoa-		Schalekamp 2007 study, which is also included separately in	'The results of this
gulant effects of		this risk analysis. This was the only study that generated data	study suggest that
CYP2C9 and		only on the first 6 months of treatment. Data up to 18 months	pharmacogenetic
VKORC1 genotypes		were derived from another study. The INR target was 2.0-3.5	information might
in phenprocoumon		for all patients. Relevant co-medication was not excluded.	help to prevent
users. J Thromb Haemost.			subtherapeutic or
2012;10:2610-2.		Genotyping:	supratherapeutic
PMID: 23016521.		- 280x GG	INRs in the first
		- 341x GA	month of phenpro-
		- 126x AA	coumon therapy
		GA versus GG (first month):	and thereby redu- ce the risk of
	GA:	- Factor 0.85 decrease in the percentage of patients with at	adverse events.
	AA#	least one subtherapeutic INR (from 89% to 76%) (S)	The value of this
		- Factor 1.5 increase in the percentage of patients with at least	information after
	GA: A	one supratherapeutic INR (from 33% to 48%) (S)	the first month of
		- No difference in the percentage of patients with at least one	phenprocoumon
		INR > 6 (NS)	treatment appears
		No differences between GA and GG were found after the first	to be limited.'
		month of treatment.	
		AA versus GG (first month):	
	AA:	- Factor 0.56 decrease in the percentage of patients with at	
	AA <sup>#</sup>	least one subtherapeutic INR (from 89% to 50%) (S)	
		- Factor 2 increase in the percentage of patients with at least	
	<u> </u>	one supratherapeutic INR (from 33% to 66%) (S)	

ref. 7, continuation	AA: D	<ul> <li>Factor 5.7 increase in the percentage of patients with at least one INR &gt; 6 (from 3% to 17%) (S)</li> <li>No differences between AA and GG were found after the first</li> </ul>	
		month of treatment.	
		NOTE: Genotyping was for the polymorphism 1173C>T.	
ref. 8 Geisen C et al. Prediction of phen- procoumon mainte- nance dose and phenprocoumon plasma concentra- tion by genetic and non-genetic para- meters.	4 GA: A AA: A	<ul> <li>75 patients (30x GG, 33x GA, 12x AA) on maintenance therapy with phenprocoumon. The INR target was 2.0-3.0.</li> <li>Relevant co-medication was taken by 59% of the patients, but co-medication did not have a significant effect on the maintenance dose.</li> <li>Median maintenance dose versus GG: <ul> <li>GA: decrease by 31% (from 2.79 mg to 1.93 mg/day) (S for the trend)</li> <li>AA: decrease by 50% (from 2.79 mg to 1.40 mg/day) (S for</li> </ul> </li> </ul>	Authors' conclu- sion: "The largest dose differences were observed among VKORC1 genoty- pes." Median maintenance
Eur J Clin Pharma- col 2011;67:371-81.		the trend) VKORC1 genotype is an independent variable for the mainte- nance dose (multivariable regression analysis) and also the variable with the most effect. VKORC1 genotype accounts for 38% of the variability in the maintenance dose.	dose versus GG: GA: 69% (S) AA: 50% (S)
ref. 9 Teichert M et al. Dependency of phenprocoumon dosage on polymor- phisms in the VKORC1, CYP2C9, and CYP4F2 genes. Pharmacogenet	3 GA: A AA: A	<ul> <li>244 patients (90x GG, 101x GA, 53x AA) on maintenance therapy with phenprocoumon. Relevant co-medication was not excluded. The median maintenance dose for GG was 17.2 mg/week. Dose differences were corrected for INR targets (among other factors).</li> <li>Maintenance dose versus GG: <ul> <li>GA: decrease by 4.51 mg/week (S)</li> <li>AA: decrease by 9.62 mg/week (S)</li> </ul> </li> </ul>	Authors' conclu- sion: "Phenprocoumon maintenance dosage depended on polymorphisms in the VKORC1 gene."
Genomics 2011;21:26-34.		VKORC1 genotype explained 26% of the variation in the maintenance dose. NOTE: Genotyping was for the polymorphism rs10871454 that is fully linked to the VKORC1 1639G>A polymorphism. It is therefore referred to as the A-allele in this summary.	Median maintenance dose versus GG: GA: 74% (S) AA: 48% (S)
ref. 10 Luxembourg B et al. Impact of pharmaco- kinetic (CYP2C9) and pharmacodyna- mic (VKORC1, F7, GGCX, CALU, EPHX1) gene vari- ants on the initiation and maintenance phases of phenpro- coumon therapy. Thromb Haemost 2011;105:169-80.	3 GA: A GA: AA#	<ul> <li>54 patients (25x GG, 20x GA, 9x AA) who started phenprocoumon therapy and 91 patients (36x GG, 39x GA, 16x AA) on phenprocoumon maintenance therapy. The INR target was 2.0-3.0. The median initial dose was 18 mg divided over 3 days. No dosing algorithm was used. Relevant co-medication was not excluded. Median measurements are given.</li> <li><i>Initiation phase:</i> GA versus GG: <ul> <li>Difference in dose on day 4 (S for the trend GG, GA, AA)</li> <li>The cumulative dose on day 5 decreased by 15% from 30.0 to 25.5 mg (S for the trend)</li> <li>The first INR measured increased by 0.33 from 1.18 to 1.51 (S for the trend)</li> <li>The time to stable INR decreased by 61% from 31 to 12 days (S for the trend). The HR for achieving stable INR sooner than GG and AA was 3.06 (95% CI: 1.68-5.58).</li> <li>The time to first INR &gt; 3.0 decreased by 53% from 17 to 8 days (S for the trend)</li> </ul> </li> <li>Decreased risk of INR &gt; 3.0 (OR = 0.23; 95% CI: 0.05-0.96)</li> <li>No difference in the percentage of time that INR &gt; 3.0</li> </ul>	Authors' conclu- sion: "Compared to the VKORC1 geno- type, early INR values were less informative in the prediction of out- come parameters such as time to stable INR and time above the INR range."

		Mointononoo dooo (corrected for one, and set IND)	in woold on the
coumon maintenan- ce dose require-	GA: A	Maintenance dose (corrected for age, sex and last INR) versus GG:	in weekly aceno- coumarol mainte-
ments.	GA. A	- GA: decrease by 21% (from 19.08 mg to 15.12 mg/week)	nance dose requi-
Eur J Clin Pharma-	AA: A	(S for the trend)	rement is mainly
col		- AA: decrease by 51% (from 19.08 mg to 9.27 mg/week) (S	dependent on the
2010;66:253-60.		for the trend)	VKORC1 1173
ref. 13, continua-			C>T and the CYP-
tion		VKORC1 genotype is an independent variable for the mainte- nance dose (multivariable regression analysis). Age, sex, last	2C9*3 alleles."
		INR and VKORC1 and CYP2C9 genotypes combined account	Maintenance
		for 55% of the variability in the maintenance dose.	dose versus GG:
			GA: 79% (S)
		NOTE: Genotyping was for the polymorphism 1173C>T.	AA: 49% (S)
ref. 14	4	47 patients with a TIA or stroke (15x GG, 27x GA, 5x AA)	Authors' conclu-
Arnold ML et al. Pharmacogenetic		were started on phenprocoumon at the hospital. The INR target was 2.0-3.0. Relevant co-medication was not excluded,	sion: "In patients with
testing for guiding		but co-medication did not have a significant effect on the	cerebrovascular
de novo phenpro-		response to phenprocoumon.	disease, genoty-
coumon therapy in			ping for VKORC1
stroke patients. Cerebrovasc Dis		GA versus GG:	alone can strongly
2009;28:468-71.	GA:	- The time to therapeutic INR decreased by 32% from 6.5 to	predict the indivi-
,	AA <sup>#</sup>	<ul><li>4.4 days (S for the trend)</li><li>Significant difference in cumulative dose until reaching</li></ul>	dual response to de novo phenpro-
	GA: A	therapeutic INR (S for the trend)	coumon treatment.
			The size of the
		AA versus GG:	pharmacogenetic
	AA:	- The time to therapeutic INR decreased by 51% from 6.5 to	test's potential
	AA <sup>#</sup>	<ul><li>3.2 days (S for the trend)</li><li>Significant difference in cumulative dose until reaching</li></ul>	effect on a more efficient use of
	AA: A	therapeutic INR (S for the trend)	hospital capacities
	/ / / / / /		remains to be
		80% of the patients were discharged from hospital immedia-	shown by a con-
		tely after achieving therapeutic INR.	trolled interventio-
		NOTE: Constrains was for the *2 allele (ash morphism	nal study."
		NOTE: Genotyping was for the *2-allele (polymorphism 2255C>T). According to Spreafico et al. (Pharmacogenomics	
		2008;9:1237-50) the *2-allele (rs2359612, VKORC1 2255	
		C>T) is equivalent to hereditary class A and therefore to the	
		-1639A allele. It is therefore referred to as the A-allele in this	
	-	summary.	
<b>ref. 15</b> Qazim B et al.	3	53 patients (19x GG, 23x GA, 11x AA) on maintenance thera-	Authors' conclu-
Dependency of		py with phenprocoumon for various indications. Co-medication that potentiated ( $n = 45$ ) or weakened ( $n = 12$ ) the effect of	sion: "Though VKORC1
phenprocoumon		phenprocoumon was present. Significances of the dose diffe-	and CYP2C9 poly-
dosage on poly-		rences were not given.	morphisms influen-
morphisms in the			ce the phenpro-
VKORC1 and CYP- 2C9 genes.		GA versus GG:	coumon dosage
J Thromb Thrombo-	GA: AA	<ul> <li>No difference in INRs</li> <li>The maintenance dose decreased by 29% from 15.3 to</li> </ul>	necessary to achieve therapeu-
lysis	GA. AA	10.9 mg/week (NS)	tic anticoagulation,
2009;28:211-4.			anticoagulation is
		AA versus GG:	therapeutic if care-
		- No difference in INRs	fully monitored."
	AA: AA	- The maintenance dose decreased by 49% from 15.3 to	Maintananaa
		7.8 mg/week (NS)	Maintenance dose versus GG:
		NOTE: According to Puehringer et al., 2010, the polymor-	GA: 71% (S)
		phism VKORC1 3730G>A that was also investigated was not	AA: 51% (S)
		independent of -1639G>A and was therefore not included in	
		the summary.	

			with acenocouma- rol."
ref. 18 Reitsma PH et al. A C1173T dimor- phism in the VKOR- C1 gene determines coumarin sensitivity and bleeding risk. PLoS Med 2005;2:e312.	3 GA: D AA: A	Case-control study including 110 patients with a history of bleeding on coumarin therapy and 220 patients with no history of bleeding. 48 cases (13 GG, 25 GA, 10 AA) and 81 controls (40 GG, 29 GA, 12 AA) used phenprocoumon. Co-medication was not known. - Risk of bleeding (major bleeding) versus GG: - GA: OR = 2.7 (S) - AA: OR = 2.6 (NS) - GA+AA: OR = 2.6 (S) - GA+AA (calculation including all 121 GA+AA controls): OR = 2.1 (S) - Mean dose required to achieve a certain INR: - GG: 2.9 mg/day - GA: 2.6 mg/day (NS by 10%) - AA: 1.4 mg/day (S by 52%) Phenprocoumon had a greater effect on the risk of bleeding in carriers of an A-allele than acenocoumarol. NOTE: Genotyping was for the polymorphism 1173C>T.	GA: 81% (S) AA: 49% (S) Authors' conclu- sion: "The results, al- though based on a small sample size of individuals with bleeding, support the suggestion that the bleeding risk for T-carriers is higher in phenpro- coumon than in acenocoumarol users. If this finding is confir- med in additional studies and exten- ded to more fre- quently occurring and clinically rele- vant cases of non- major bleeding, it may imply that CT and TT carriers should be prefe- rentially treated
ref. 16 Schmeits PC et al. Investigating unex- pected INRs: in search of the culprit adherence, inter- actions, genetics, and superwarfarin. Neth J Med 2009;67:76-8. ref. 17 Schalekamp et al. <i>VKORC1</i> and <i>CYP-</i> <i>2C9</i> genotypes and phenprocoumon anticoagulation status: interaction between both genotypes affects dose requirement. Clin Pharmacol Ther 2007;81:185-93.	2 GA: D 4 GA: A AA: D	<ul> <li>A 68-year-old man was hospitalised in the ICU with recurrent pulmonary embolism. He had been treated with phenprocoumon for some years, but it had not been possible to identify the appropriate dose despite various dose adjustments. His genotype was found to be GA. He did not have any CYP-2C9 polymorphisms.</li> <li>NOTE: Genotyping was for the polymorphism 1173C&gt;T.</li> <li>281 patients; VKORC1 polymorphisms: 106x GG, 121x GA, 54x AA; phenprocoumon for 3-6 months; low target INR; no co-medication with impact on CYP2C9; correction for NSAID and antibiotic usage.</li> <li>Risk of INR &gt; 6 versus GG:     <ul> <li>GA: HR = 1.69 (NS)</li> <li>AA: HR = 2.28 (S)</li> </ul> </li> <li>Maintenance dose (mg/week) (mean all CYP2C9s):     <ul> <li>GG: 19.96</li> <li>GA: 16.11 (S by 19%)</li> <li>AA: 9.74 (S by 51%)</li> </ul> </li> <li>Time to stability (days) (mean of all CYP2C9s):     <ul> <li>GG: 71 (n=85)</li> <li>GA: 62 (n=100)</li> <li>AA: 71 (n=49)</li> </ul> </li> <li>NOTE: Genotyping was for the polymorphism 1173C&gt;T.</li> </ul>	Authors' conclu- sion: "The VKORC1 genotype modifies the effect of the CYP2C9 genotype on phenprocou- mon dose require- ments. A combina- tion of polymor- phisms of both ge- notypes is associa- ted with a strongly increased risk of overanticoagula- tion." Maintenance dose versus GG:

ref. 18, continua-	dose versus GG	):
tion	GA: 90%	
	AA: 48% (S)	

<sup>#</sup> In these cases, there was a significant difference between GG and GA or AA, but the clinical effect was more favourable for GA or AA than for GG. As the purpose of classification of the severity of the effect is to classify negative effects, code AA is used for a positive effect.

Risk group	Use of CYP2C9 inhibitors, CYP2C9 polymorphisms

### Comments:

- Articles relating to VKORC1 gene variations that led to acenocoumarol resistance were not included, because the prevalence of these VKORC1 gene variations is very low.

The only articles included from 2007 were those that either showed a clinical effect or an effect size of separate VKORC1 phenotypes on dose or kinetics, because articles that only showed that VKORC1 has an effect on kinetics or dose did not supply new information.

From 2011, articles investigating the effect on dose or kinetics were only included if the patient number was 100 or more and if data were available per genotype. Other articles on dose or kinetics supplied insufficient new information. All articles with data on bleeding and/or INR > 6 were included, as were articles comparing genotype-guided treatment to non-genotype-guided treatment and articles providing new information on the studies in such articles.

#### - Dosing algorithms:

 van Schie RM et al. Loading and maintenance dose algorithms for phenprocoumon and acenocoumarol using patient characteristics and pharmacogenetic data. Eur Heart J 2011;32:1909-17.

An algorithm for the phenprocoumon maintenance dose was developed on the basis of data from 559 phenprocoumon users with target INRs of 2.0-3.5. The algorithm was validated in an independent dataset including 229 phenprocoumon users, whose height and body weight parameters were not known. As phenprocoumon has a long half-life (160 hours), a separate loading dose is required. The loading dose is divided over the first 3 days and is calculated from the calculated maintenance dose using the formula mentioned below. The algorithm explained 55.9% of the variation in dose requirement, where the VKORC1 polymorphism explained 34.1% of the variation. The mean absolute error in the calculated maintenance dose was 0.45 mg/day. These numbers were 59.4% and 0.46 mg/day respectively for the validation set. A randomised controlled trial is required to test whether the use of this algorithm leads to improvement of control and safety of phenprocoumon therapy.

#### The algorithm found was:

 $\sqrt{\text{(mean maintenance dose (mg/week))}} = 2.874 - 0 (if CYP2C9*1/*1) - 0.259 (if CYP2C9*1/*2) - 0.342 (if CYP2C9*1/*3) - 0.447 (if CYP2C9*2/*2) - 0.684 (if CYP2C9*2/*3) - 0.681 (if CYP2C9*3/*3) - 0 (if VKORC1 GG) - 0.601 (if VKORC1 GA) - 1.394 (if VKORC1 AA) - 0.015 * age (years) + 0.026 (if female) + 0.011 * height (cm) + 0.008 * body weight (kg) - 0.345 (if amiodarone user) Formula to calculate the loading dose based on the calculated maintenance dose: maintenance dose (mg/day) = (D_1*e^{-2k} + D_2*e^{-k} + D_3)/(1-e^{-k})$ 

where  $D_1$ ,  $D_2$  and  $D_3$  represent the dose on day 1, 2 and 3 respectively and where the elimination rate constant k is equal to  $ln(2)/t_{1/2}$ .

-				
Loading dose (in mg)		Calculated maintenance dose (mg/day)		
	3-3-3	< 1.04		
	6-3-3	1.04-1.31		
	6-6-3	1.31-1.61		
	6-6-6	1.61-1.85		
	9-6-6	1.85-2.92		
	9-9-6	> 2.92		

Loading doses used:

The loading dose was always selected to lead to the lower limit (LLN) of the maintenance dose range specified.

NOTE: The polymorphism 1173C>T was determined in this study.

Geisen C et al. Prediction of phenprocoumon maintenance dose and phenprocoumon plasma concentration by genetic and non-genetic parameters. Eur J Clin Pharmacol 2011;67:371-81. An algorithm for the phenprocoumon maintenance dose was developed based on data from 75 phenprocoumon users with target INR of 2.0-3.0. The algorithm was not validated in an independent

dataset. The algorithm explained 48.6% of the variation in dose requirement, where the VKORC1 polymorphism explained 37.6% of the variation. The mean absolute error in the calculated maintenance dose was 0.52 mg/day. Passing-Bablok regression analysis showed a good correlation between the actual and calculated phenprocoumon dose (r=0.701). The algorithm found in the study was:

 $\sqrt{\text{(maintenance dose (mg/day))}} = 0.460 + 0.238 \text{ (if VKORC1 GG)} - 0.271 \text{ (if VKORC1 AA)} + 0.007 * \text{height (cm)} - 0.004*age (in years)}$ 

 Puehringer H et al. VKORC1 -1639G>A and CYP2C9\*3 are the major genetic predictors of phenprocoumon dose requirement. Eur J Clin Pharmacol 2010;66:591-8.

An algorithm for the phenprocoumon maintenance dose was developed based on the data from 185 phenprocoumon users with target INR of 2.0-3.0. The algorithm was not validated in an independent dataset. The algorithm explained 31% of the variation in dose requirement, where the VKORC1 polymorphism explained 14.2% of the variation.

 $\sqrt{\text{(maintenance dose (mg/week))}} = 4.823 - 0.4148 * the number of VKORC1 A alleles - 0.0187 * age (in years) - 0.5535 * the number of CYP2C9 *3 alleles - 0.2503 * the number of CYP2C9 *2 alleles + 0.057 * body weight (kg)$ 

Date of literature search: 18 July 2018.

	Genotype	Code	Gene-drug interaction	Action	Date
Dutch Pharmacogenetic	GA	4D	Yes	No	10 September 2018
Working Group decision	AA	4D	Yes	Yes	

## Mechanism:

Coumarins exert their effect by inhibition of enzyme activity of the vitamin K 2,3-epoxide reductase complex subunit 1 (VKORC1). Mutations in the VKORC1 gene may lead to reduced production of the VKORC1 protein. This requires a lower coumarin dose for inhibition of this protein.

VKORC1 regenerates reduced vitamin K (vitamin K 2,3-epoxide) to the active oxidised form (vitamin K hydroquinone). Vitamin K is an essential cofactor for carboxylation of glutamic acid residues on coagulation factors II, VII, IX and X and the anticoagulation proteins C, S and Z. Inhibition of VKORC1 therefore results in reduced coagulation.

## **Clinical Implication Score:**

Table 1: Definitions of the available Clinical Implication Scores

Potentially	PGx testing for this gene-drug pair is potentially beneficial. Genotyping can be			
beneficial	considered on an individual patient basis. If, however, the genotype is available,			
	the DPWG recommends adhering to the gene-drug guideline			
Beneficial	PGx testing for this gene-drug pair is beneficial. It is advised to genotype the patient before (or directly after) drug therapy has been initiated to guide drug and dose selection	3-5 +		
Essential	PGx testing for this gene-drug pair is essential for drug safety or efficacy. Genotyping must be performed before drug therapy has been initiated to guide drug and dose selection	6-10 +		

Table 2: Criteria on which the attribution of Clinical Implication Score is based

Clinical Implication Score Criteria		Given
	Score	Score
Clinical effect associated with gene-drug interaction (drug- or diminished efficacy-induced)		
CTCAE Grade 3 or 4 (clinical effect score D or E)	+	+
CTCAE Grade 5 (clinical effect score F)	++	
Level of evidence supporting the associated clinical effect grade ≥ 3		
• One study with level of evidence score $\geq 3$	+	
• Two studies with level of evidence score $\geq 3$	++	++
• Three or more studies with level of evidence score $\geq 3$	+++	
Number needed to genotype (NNG) in the Dutch population to prevent one clinical effect grade		
≥ 3		
• 100 < NNG ≤ 1000	+	
• 10 < NNG ≤ 100	++	
• NNG ≤ 10	+++	

<ul> <li>PGx information in the Summary of Product Characteristics (SmPC)</li> <li>At least one genotype/phenotype mentioned</li> </ul>	+	
OR  • Recommendation to genotype OR	++	
• At least one genotype/phenotype mentioned as a contra-indication in the corresponding section	++	
Total Score:		3+
Corresponding Clinical Implication Score:		